Dual Use Research of Concern (DURC) Review Process Summary for PI

The PI notifies* the Institutional Review Entity (IRE) as soon as any of the following apply:
- The PI’s research involves any of the 15 listed agents and toxins
- One or more of the 7 listed categories of experiments can be reasonably considered applicable to the PI’s research involving one or more of the 15 listed agents and toxins
- The PI’s research meets criteria that may meet the definition of DURC.

**STEP 1: PI submits *Initial DURC Assessment**

**Option 1**
PI answers that the 7 categories of experiments/experimental effects (see VUMC Policy) are **not applicable** to the PI's research

**STEP 2:** The **IRE concurs** that the 7 categories of experiments/experimental effects (see VUMC Policy) are **not applicable** to the PI’s research

**OUTCOME 1:** PI will be informed that no further DURC review is required.

**Option 2**
PI answers that the 7 categories of experiments/experimental effects (see VUMC Policy) are **not applicable** to the PI’s research

**STEP 2:** The IRE **disagrees** with PI’s assessment that the 7 categories of experiments/experimental effects (see VUMC Policy) are **not applicable** to the PI’s research

**STEP 3:** PI will be asked to submit a DURC Determination Analysis form

**STEP 4:** IRE reviews all submitted documentation

**IRE determines that research does not meet definition of DURC**

**OUTCOME 1:** PI will be informed that no further DURC review is required.

**IRE determines that research does meet definition of DURC**

**OUTCOME 2:** PI works with IRE and ICDUR to prepare a Draft Risk Mitigation Planning Assessment

**STEP 5:** Submit to funding agency for approval

**Option 3**
PI answers that one or more 7 categories of experiments/experimental effects (see VUMC Policy) are **applicable** to the PI’s research

**STEP 2:** The IRE **concurs** that the 7 categories of experiments/experimental effects (see VUMC Policy) are **not applicable** to the PI’s research

**OUTCOME 1:** PI will be informed that no further DURC review is required.

**IRE determines that research does meet definition of DURC**

**OUTCOME 2:** PI works with IRE and ICDUR to prepare a Draft Risk Mitigation Planning Assessment

**STEP 5:** Submit to funding agency for approval

*Skip to Step 3*

This chart is a summary of the VUMC institutional review workflow. For questions, contact Susan Meyn, Institutional Contact for Dual Use Research (ICDUR) at 615.322.0470

For more details on DURC policy and process, refer to the VUMC Policy for Dual Use Research of Concern (DURC), the VUMC SOP for the Institutional Review Entity (IRE), and the FAQ posted on www.vumc.org/oor/dual-use-research-concern-durc