

Dual Use Research of Concern (DURC) Review Process Summary for PI

The PI notifies* the **Institutional Review Entity (IRE)** as soon as any of the following apply:

The PI's research involves any of the **15 listed agents and toxins**

One or more of the **7 listed categories** of experiments can be reasonably considered applicable to the PI's research involving one or more of the **15 listed agents and toxins**

The PI's research meets criteria that may meet the definition of DURC.

STEP 1: PI submits *Initial DURC Assessment

Option 1 ↓

PI answers that the 7 categories of experiments/experimental effects (see VUMC Policy) are **not applicable** to the PI's research

STEP 2: The IRE **concurs** that the 7 categories of experiments/experimental effects (see VUMC Policy) are **not applicable** to the PI's research

OUTCOME 1: PI will be informed that **no further DURC review is required.**

Option 2 ↓

PI answers that the 7 categories of experiments/experimental effects (see VUMC Policy) are **not applicable** to the PI's research

STEP 2: The IRE **disagrees** with PI's assessment that the 7 categories of experiments/experimental effects (see VUMC Policy) are **not applicable** to the PI's research

STEP 3: PI will be asked to submit a DURC Determination Analysis form

STEP 4: IRE reviews all submitted documentation

IRE determines that **research does not meet definition of DURC**

OUTCOME 1: PI will be informed that **no further DURC review is required.**

IRE determines that **research does meet definition of DURC**

OUTCOME 2: PI works with IRE and ICDUR to prepare a Draft Risk Mitigation Planning Assessment

STEP 5: Submit to funding agency for approval

Option 3 ↓

PI answers that one or more 7 categories of experiments/experimental effects (see VUMC Policy) are **applicable** to the PI's research

Skip to Step 3

STEP 3: PI will be asked to submit a DURC Determination Analysis form

STEP 4: IRE reviews all submitted documentation

IRE determines that **research does not meet definition of DURC**

OUTCOME 1: PI will be informed that **no further DURC review is required.**

IRE determines that **research does meet definition of DURC**

OUTCOME 2: PI works with IRE and ICDUR to prepare a Draft Risk Mitigation Planning Assessment

STEP 5: Submit to funding agency for approval

*This chart is a summary of the VUMC institutional review workflow.
For questions, contact Susan Meyn, Institutional Contact for Dual Use Research (ICDUR) at 615.322.0470*

For more details on DURC policy and process, refer to the VUMC Policy for Dual Use Research of Concern (DURC), the VUMC SOP for the Institutional Review Entity (IRE), and the FAQ posted on www.vumc.org/oor/dual-use-research-concern-durc