

# SUPPORTING SHARED INSTRUMENTATION GRANTS: FROM RFA TO AUR (AND BEYOND!)

Amy Martinez, PhD  
VUMC Office of Research

# Vanderbilt Cores

>80  
Vanderbilt cores

>\$60M  
Annual core billing

>300  
Core staff and faculty

>\$150M  
Invested over 20 years

\$1.50 per \$10 from  
NIH flows through  
Cores

**Stevenson Center**  
Biomolecular & Small Molecule NMR  
CISR EM Facility

**Medical Center North (MCN)**  
Clinical Research Center (CRC)  
Translational Pathology Shared Resource  
Cryo-EM Facility  
CISR EM Sample Prep Lab  
Nikon Center of Excellence (CISR)  
Molecular Epidemiology Biospecimen Core  
Center for Experiential Learning and Assessment

**Medical Research Building IV (MRBIV)**  
Genome Editing Resource  
Chemical Synthesis Core  
Cell Imaging Shared Resource (CISR)  
Digital Histology Shared Resource  
Metabolic Physiology Shared Resource  
Islet Procurement & Analysis Core

**Robinson Research Building**  
High-Throughput Screening Facility  
Eicosanoid Core Laboratory

**Preston Research Building**  
Center for Quantitative Sciences  
Genomics data analysis: VANGARD  
Hormone Assay and Analytical Services  
Protein and Antibody Resource (VAPR)  
QCORE - Zebrafish Quarantine Facility  
Mass Cytometry Center of Excellence

**Medical Research Building III (MRBIII)**  
Mass Spectrometry Core  
Proteomics Core  
Mass Spectrometry Tissue Core  
Neurochemistry Core Laboratory  
Center for Structural Biology  
Cell Imaging Shared Resource

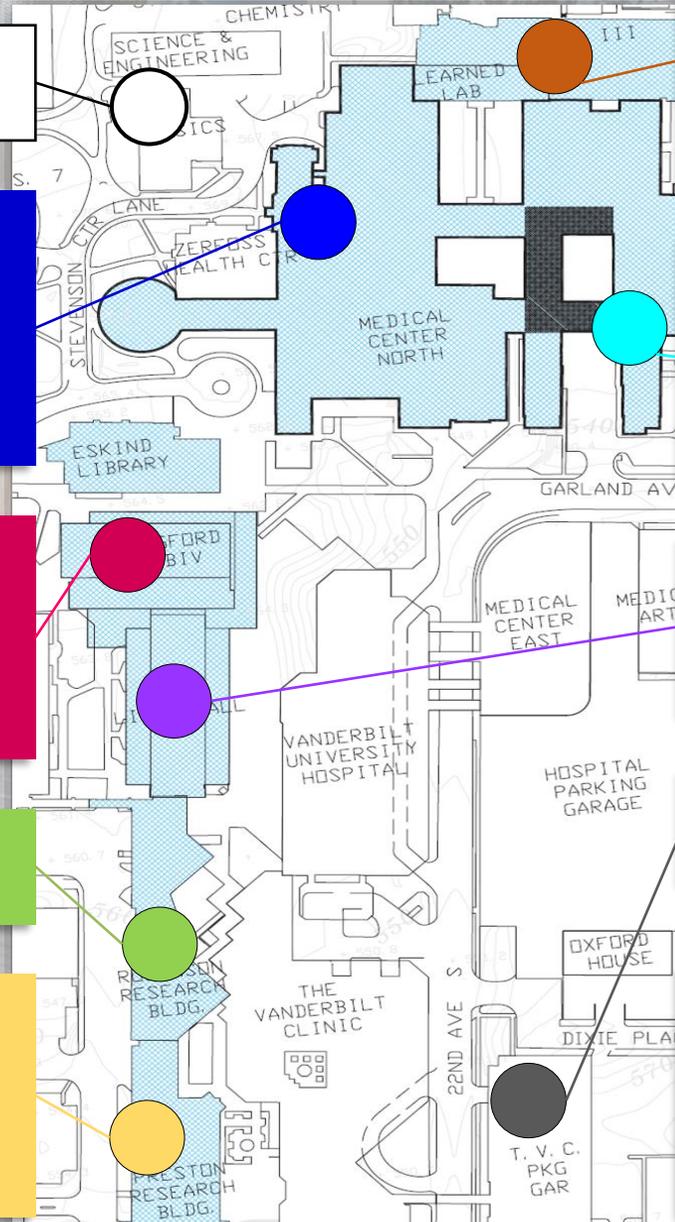
**Medical Center North (MCN)**  
Murine & Rat Neurobehavioral Labs  
Flow Cytometry  
Genomics cores: VANTAGE & BioVU  
Human Imaging Core  
Small Animal Imaging Core  
Radiochemistry Core

**Light Hall**  
Cell Imaging Shared Resource  
Molecular Cell Biology Resource Cores  
Mouse Metabolic Phenotyping Center  
ZCORE - Zebrafish Aquatic Facility

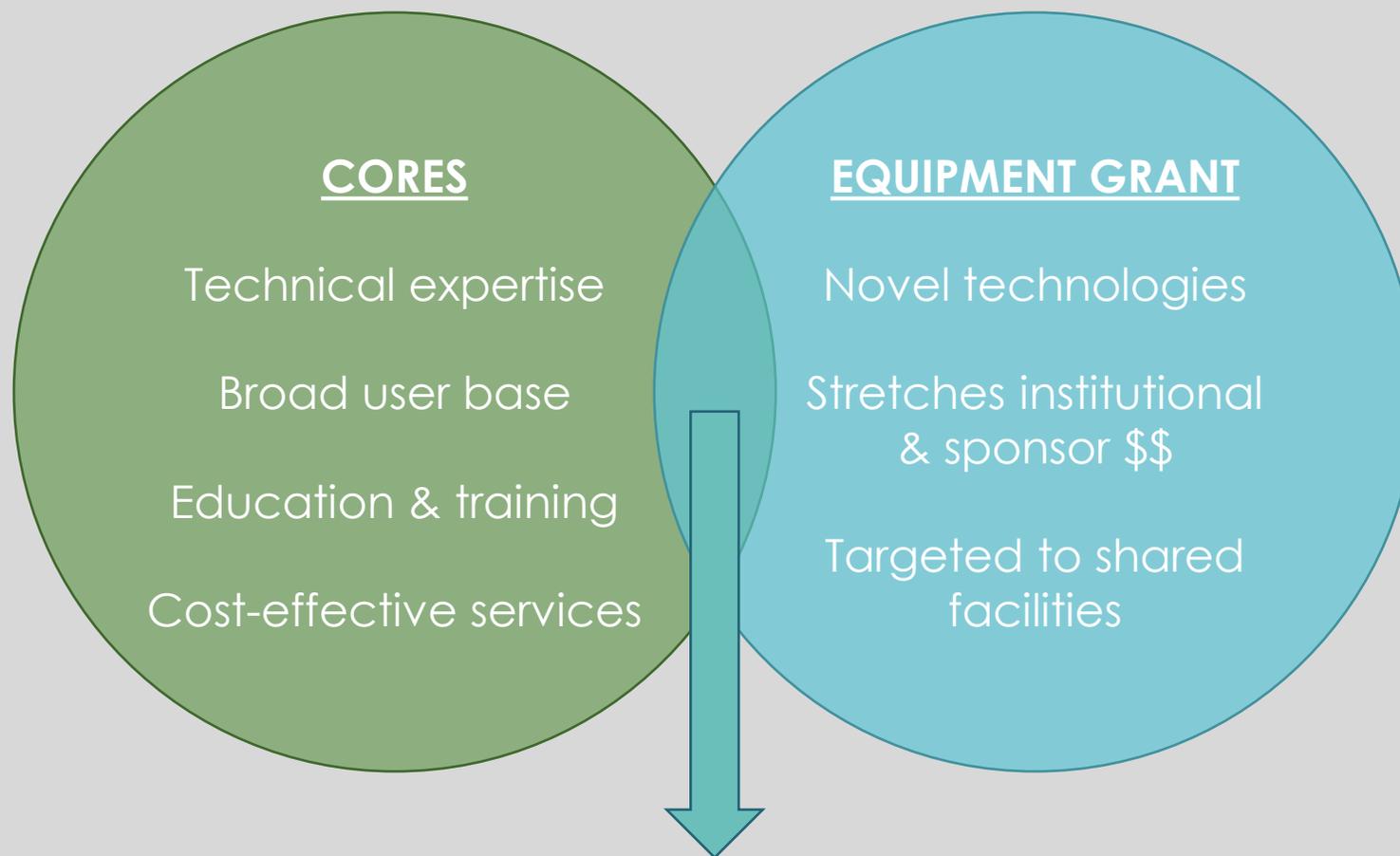
**The Vanderbilt Clinic (TVC)**  
Investigational Drug Services  
Cooperative Human Tissue Network

**10 minute walk**  
Biostatistics Collaboration Center  
Health Services Research cores  
Phenotyping & PheWAS core  
Survey Research Shared Resource  
Health Policy Database cores

SEASR  
too!



# Shared equipment grants keep Cores on the leading edge



## **NIH S10 Program**

\$50k - \$2M  
Single instrument  
Biomedical focus  
NIH funding reqd  
5-year reporting

## **Add'l Grants**

NSF MRI  
NIH Supplements  
Private Corp/Fdn

**Novel capabilities + expert guidance = scientific breakthroughs**

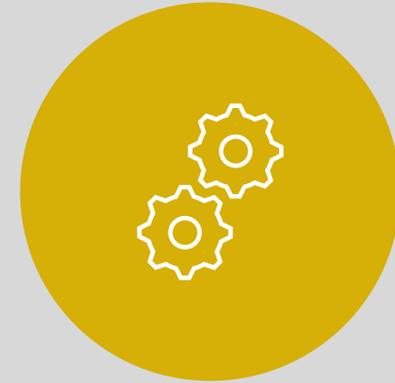
# The S10 lifecycle



IDEATION &  
PLANNING



PREPARATION &  
SUBMISSION



IMPLEMENTATION &  
REPORTING

*How can our central research office support S10 PIs &  
Core partners at each stage?*



# PI and Central Office roles

## PI & Core Partner:

- Assess need & gather users
- Demo instrument, get data
- Identify sources of support
- Consult w/ central office(s)
- Keys: start early & communicate!

## Office of Research:

- Review & advertise RFAs
- Provide guidance packet
  - What's new this cycle?
  - Tricky concepts, like AUT
- Run internal application process

- *Is the instrument unique and need well-justified?*
- *Does user group have NIH funding?*
- *Will this implementation require construction?*
- *Any required components/software that grant won't fund?*





# Internal proposal process

## Why have one?

- Craft custom letters of support
  - Plan any new commitments
  - Review for duplication
  - Connect PI with core partner
  - Advise on all aspects of RFA
- 
- Aim to request materials that can be directly “re-used” in the NIH application
  - Goal: Approve all submissions!

Describe plans for integration into a shared resource/core facility, instrument management, operational support and user access; briefly list any other major user projects.

Provide written statement of support from the PI's (or core's) department or center, committing to provide backup of the equipment financial plan for 5 years from installation of the instrument or for its effective lifetime. [Upload](#)

\* must provide value

Project abstract: (Max. 1000 words) [Upload](#)

\* must provide value

Upload a full list of Major/ Minor users. (Word or Excel file accepted.) [Upload](#)

Must include following for each user:  
1. Name  
2. Primary Department  
3. Grant number & Project description  
4. Estimate % of Total Usage

*Note: This can be the list you plan to submit, or an actual log of recent usage, e.g. an iLab usage log. If submitting a usage log, please highlight major users.*

\* must provide value

The core's advisory committee will serve as the \$10 instrument advisory committee. Please propose up to three ad hoc members of the core advisory committee to support annual review of the instrument to meet NIH reporting requirements.

Collect info on:

- Equipment
- Core partner
- User groups
- Financial plan

**Equipment Proposal Information:**

Name of this equipment:  
\* must provide value

Equipment details:  
1. Type of instrument  
2. Model  
3. Manufacturer  
4. Special capabilities or value-added to VUMC core facilities  
5. If this instrument is currently present at VUMC, provide justification for purchasing a similar equipment.



# PI and Central Office roles

## PI & Core Partner:

- Prepare the application!
  - Coordinate institutional partners
  - Communicate with Central Office & NIH Program Office, as needed
  - Get updated quotes
- Review & re-review the RFA

## Office of Research:

- Compile performance report
- Provide grant-ready text
  - Describes institution & central core administration/oversight
- Prepare letters of support

- *Who is writing what? (PI vs. Users vs. Core Personnel)*
- *Have I requested all the letters I need?*
- *When does my grants team need my materials?*
- *Did I respond to all reviewer critiques from last cycle?*





## Preparation & Submission

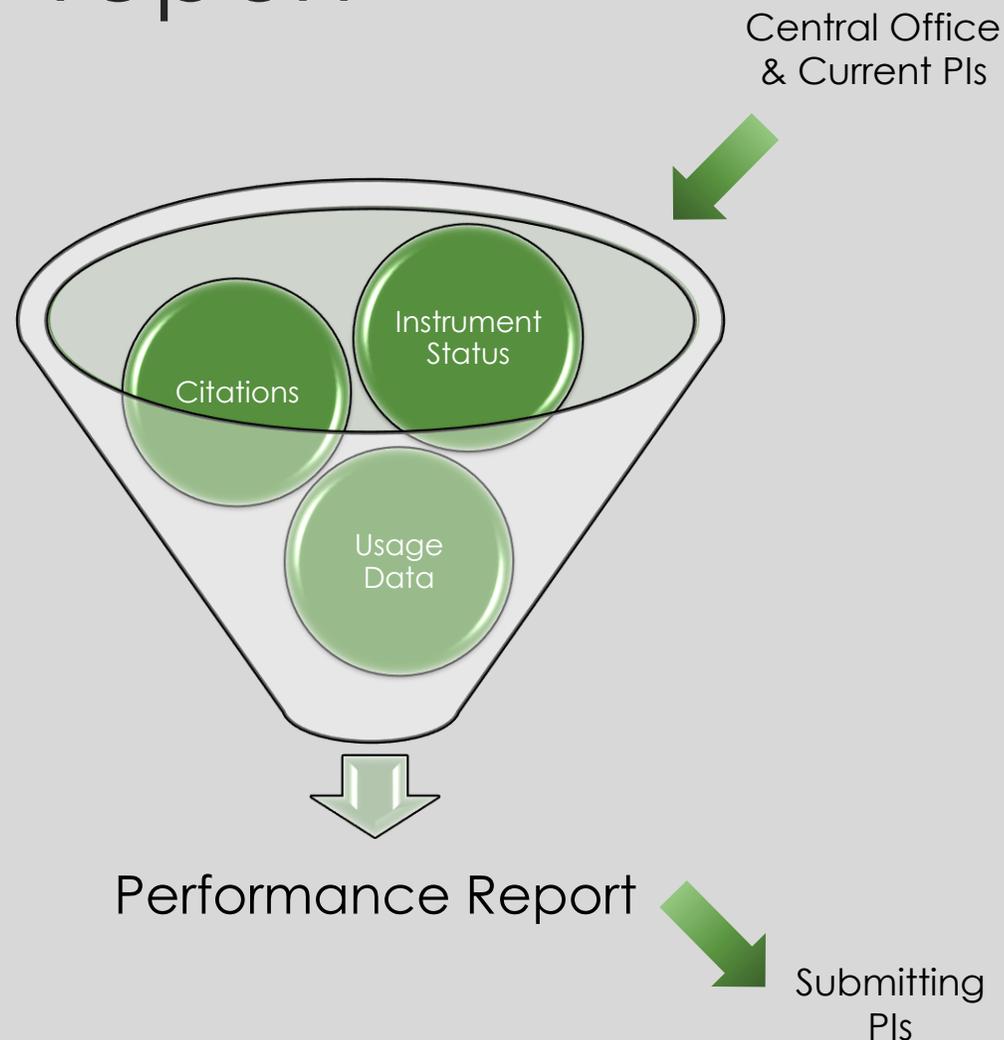
# Performance report

**All new submissions must include a report of existing S10 awards at your institution.**

- Partner with existing S10 PIs/Cores to complete
- RFA lays out specific format & requirements

**Great opportunity to:**

- “Get the scoop” on existing S10s
- Help PIs troubleshoot issues; connect with Advisory Group or Program Office
- Guide PIs and/or users on linking citations in myNCBI
  - **Starting early gives more time to identify and link publications! Think February/March.**





## Preparation & Submission

# Letters of support

### Great opportunity to:

- Show reviewers we read the RFA
  - Describe institutional back-up of the proposed financial plan for 5 years after installation (or longer)
- Highlight new and ongoing support to Cores
- Showcase previous success of PI and Core

PI may also need other letters, e.g. Biosafety Official or inventory of similar instrumentation

### Building blocks of an effective letter

“Commit to provide backup for the financial plan” for 5+ years

Highlight PI and Core expertise

Summarize institutional support for the Core

Describe matching funds, if applicable



# PI and Central Office roles

## PI & Core Partner:

- Buy, install & maintain instrument!
- Identify new users/projects
- Organize annual advisory group
- Submit final progress report & annual usage reports

## Office of Research:

- Provide template for S10/Core advisory committees
  - Feeds into AURs
- Consult on any issues that arise
- Incentivize S10 citations

- *Do I need to request a no-cost extension?*
- *How can I advertise our new instrument/services?*
- *How can I encourage users to cite the S10 grant?*
- *Are there any issues to communicate to the NIH PO?*





## Implementation & Reporting

# Citation voucher program



### Leica Bond RX Automated Immunohistochemistry and *in situ* stainer, awarded in 2018

- Instrument/Project Name: The Leica Bond RX
- Access facilitated through: [Translational Pathology Shared Resource](#)
- Principal Investigator: Katherine Gibson-Corley, DVM, PhD, Pathology, Microbiology, & Immunology

Recommended acknowledgement text for citations: "This work was supported by grant 1S10OD023475-01A1 for the Leica Bond RX, housed in the Vanderbilt Translational Pathology Shared Resource."

**Publication counted in next performance report**

**More Core business & additional citations**

**Note: Advertising the program is key!**

# The S10 support lifecycle



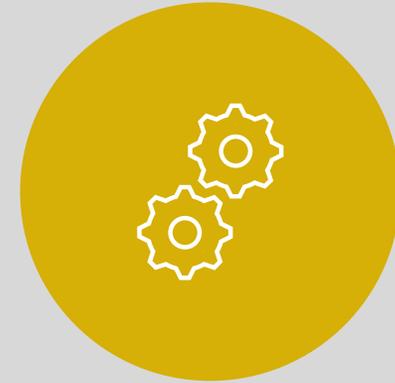
## IDEATION & PLANNING

- Internal proposal process
- Advise on RFA
- Connect PIs & Cores



## PREPARATION & SUBMISSION

- Performance report
- Letters of support
- Advise on RFA



## IMPLEMENTATION & REPORTING

- Advisory committees
- Citation vouchers

# Thank you!

**Vanderbilt Shared Resources**

**Grant Sponsors**



**Research Dev & Support Team;  
School of Med - Basic Sciences**

Templates & Resources:

[www.vumc.org/oor/s10](http://www.vumc.org/oor/s10)

[amy.f.martinez@vumc.org](mailto:amy.f.martinez@vumc.org)



**VUMC  
Office of  
Research**

