DISCOVR-e USER MANUAL

Vanderbilt Human Research Protection Program

Note: If you are wor	rking with COVID-19 samples, y	ou must receive biosafety appro	oval		
prior to beginning th	e research.				
Related Studies or	the Funding Tab:				
You can now inform with IRB review. If y study that may bene system allows you t	us of any studies that may be re ou have a Single IRB study in a offt from review by the same IRB o search by IRB number or PI.	elated to a NS submission to as specific network or a crossover 3 committee, please let us know	sist ! The		
1 Draft submissions	Submissions requiring my signature	Submissions not yet approved	Pre-review requests	Submissions requiring a CAL response	Site visit requests
Draft submissions fy studies Studies listing me	Submissions requiring my signature as KSP	Submissions not yet approved	Pre-review requests	Submissions requiring a CAL response	Site visit requests
Traft submissions Ay studies Studies listing me Approved Studies	Submissions requiring my signature as KSP	Submissions not yet approved	Pre-review requests	Submissions requiring a CAL response	Site visit requests

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Introduction

Welcome to the Vanderbilt University Institutional Review Board's new electronic submission system called DISCOVR-e (the <u>D</u>ata <u>Integrated Study C</u>onsole <u>of</u> <u>V</u>anderbilt's <u>R</u>esearch <u>E</u>nterprise. Phase I allowed researchers to access basic study information, as well as their human subjects training/continuing education status. Phase II allowed researchers to complete an IRB submission online, including the ability to use electronic signatures. Every type of submission previously sent in by hard copy (e.g., new study, continuing review, adverse event, etc.) could be submitted with a few clicks of your mouse.

Another benefit of the DISCOVR-e portal allowed researchers to view their approved documents online, including Committee Action Letters (CALs), Final Approval Letters (FALs), and approved and date stamped consent forms.

Phase III of this project includes an intuitive investigator dashboard and the implementation of an IRB application wizard that incorporates supplemental form information like vulnerable populations in research, repositories, radiological procedures for research, and waiver into one cohesive document.

Future phases may include additional features and capabilities as the system grows and users provide the development team with feedback and suggestions. The IRB plans to continuously monitor the system to make enhancements and improvements where needed.

Overview

The DISCOVR-e portal is a web-based system. You can log in and submit documents or check the status of a previous submission anywhere in the world where you have a connection to the Internet. This section of the manual will give you an overview of the system and provide highlights of its capabilities.

To access the system, please visit the Vanderbilt IRB website at <u>https://www.vumc.org/irb/</u> and click on the DISCOVR-e link on the right-hand section of the page. When prompted, enter your VUNetID and e-password. That's all it takes!!!

After logging in, you will see your Investigator Dashboard. This is your home page which shows all of your outstanding action items and approved studies. The following pages will provide step-by-step screenshots for creating and responding to outstanding submissions, as well as navigation tips for the site.

Log into the System

You can access the system by going to the IRB website, <u>https://www.vumc.org/irb/</u> and clicking on the *DISCOVR-e link*.



You can also access the system by typing the following internet address into your browser: <u>https://irb.app.vumc.org/investigator</u>

You may want to save this address in your list of favorites/bookmarks. This will bring you to the Login page. To enter DISCOVR-e, enter your VUNetID and password.

Vanderbilt IRB		
	VUnetID	
	ePassword	
	Login	
		Vanderbilt University institutional Review B 1313 21st Ave S, Suite Nashville, TN 37232-4
		12-522 (CTO)
		/

Investigator Dashboard Overview

This is your Investigator Dashboard. When you log in, this is the first screen you will see. From here, you can access all your studies and view submissions that require action from you.

1 Draft submissions	Submissions requiring my signature		Pre-review requests	Submissions requiring a CAL response	Site visit requests
tudies Studies listing me	as KSP				
Approved Studies	9				٩
ly Title	IRB #	PI Study Contact		Study Expiration	

The colored squares on this page show items requiring action from you.

study that may ber	nefit from review by the same IR	B committee, please let us know	! The		
system allows you	to search by IRB number or PI.				
Draft submissions	Submissions requiring		Pre-review requests	Submissions requiring a	Site visit requests
	my signature	approved		CALTesponse	
My studies Studies listing m	ie as KSP				
Approved Studies	0				٩
		Pl Study Contact		Study Expiration	

Notice the number in the middle of each button. This number indicates how many items are requiring that particular action. The first button is the *Draft Submissions* button. The number in the middle indicates how many draft submissions are awaiting to be finished and submitted for review.



The second button is the *Submissions requiring my signature* button. If there is a number in the middle, this indicates how many submissions require a signature for submission. Clicking this button will allow you to access these studies and sign.



The next button is the *Submissions not yet approved* button. If there is a number in the middle, this indicates how many submissions have been submitted but not yet approved. Clicking this button will allow you to access these studies.



The next button is the *Pre-review requests* button. If there is a number in the middle, this indicates how many pre-review requests you have requiring a response. Clicking this button will allow you to access these studies.



Next, is the *Submissions requiring a CAL Response* button. If there is a number in the middle, this indicates how many submissions have received a CAL but the IRB has not received a response or sent back for additional review. Clicking this button will allow you to access these studies.



The last button is the *Site Visit requests* button. If there is a number in the middle, this indicates how many site visit requests from the HRPP. Site Visit requests may include: Post Approval Monitoring Visits, Directed Audits, or Directed Education sessions. Clicking this button will allow you to access these studies.



Lists of approved studies are located below the buttons for quick access. My studies is the default view on the dashboard. This view shows studies in which you are listed as PI, Study Contact, or Faculty Advisor.

11 Draft submissions	Submissions requiring my signature		1 e-review requests	4 Submissions requiring a CAL response	Site visit requests
My studies Studies listing me	as KSP				Q
Study Title		IRB #	PI	Study Contact	Study Expiration
VICC NEU1424: Quantitative H	ligh-Field Magnetic Resonance				11/30/2019
New Study, V	JMC, IRB of Record, Standard,		/		12/12/2019
sIRB Test: TIN CIRB (VUMC):	Comparison of Oral anticoagulants.		1		

Studies listing me as KSP will display only studies in which you are listed as other KSP (not PI, Study Contact, or Faculty Advisor).



These lists are automatically sorted by *Study Expiration*. If a study is within 8 weeks of expiration, it will appear highlighted in red. Lists can be sorted by *Study Title, IRB Number, PI, and Study Contact* by clicking on the heading.

Draft submissions	Submissions requiring my signature	Submissions not yet approved	Pr	e-review requests	4 Submissions requiring a CAL response	Site visit requests
My studies Studies listing me	as KSP					
Approved Studies	9		IRB #	PI	Study Contact	Q Study Expiration
VICC NEU1424: Quantitative H	High-Field Magnetic Resonance					11/30/2019
New Study, V	UMC, IRB of Record, Standard,			/		12/12/2019
sIRB Test: TIN CIRB (VUMC):	Comparison of Oral anticoagulants			1		

You can also search for studies in these lists by entering study identifiers such as *PI or Study Contact name, parts of the study title, or IRB number* into the search box.

11 Draft submissions	Submissions requiring my signature	Pi	1 e-review re	quests	4 Submissions requiring a CAL response	Site visit requests
My studies Studies listing me a	as KSP					
Study Title		IRB #	PI		Study Contact	Study Expiration
VICC NEU1424: Quantitative H	igh-Field Magnetic Resonance					11/30/2019
New Study, VU	JMC, IRB of Record, Standard,		/			12/12/2019
sIRB Test: TIN CIRB (VUMC): (Comparison of Oral anticoagulants.		1	1		
Highlighted studies are expl	ring in the next 8 weeks					

The views located on the Dashboard can also be accessed at any point via drop-down menus. These drop-down menus can be accessed on any screen, allowing you to access submissions without returning to your dashboard.

Vanderbilt IRB	Dashboard	Submissions -	Studies -		
				1	Ş
				•	5
hanne	Impo	ortant Ann	ounce	ments	

Clicking on *Dashboard* will return you to your Investigator Dashboard.

Vanderbilt IRB	Dashboard	Submissions -	Studies -		
					Ś
					$\langle \langle \rangle$
					1
	Impo	ortant Ann	ouncemer	nts	

Clicking *Submissions* will display a drop-down menu. This menu holds items corresponding with the buttons on the dashboard, as well as other study views.

Vanderbilt IRB	Dashboard	Submissions -	Studies 🗸			
		Drafts				3
		Requiring my sig Pre-review requi CAL responses	gnature ests			
	Impc <u>covid: 1</u> 9	Submissions in r Submissions I've	route e signed	IE	nts	
home	Things o	Submissions not	t yet approved		nduot rap	

Drafts corresponds with the Drafts button.

Vanderbilt IRB	Dashboard	Submissions - Studies -			
		Drafts			
		Requiring my signature Pre-review requests CAL responses		1 Draft submissions	 + Create a new study + Tissue or Cell Registries
	Impc covid-19	Submissions in route Submissions I've signed	ents	My studies Studies listing me as KS	Training expires in:
	Things to	Submissions not yet approved		Approved Studies	ays -
	 If you inter ame Pleat root 	u made changes to your research rventions and you will continue the andment to update your research n use report any issues that may hav worch such as safety issues, or issues	to conduct changes p naterials; re occurred	Study Title	€ View IRB Training

Requiring my signature corresponds with the Submissions requiring my signature button.



Submissions not yet approved corresponds with the *Submissions not yet approved* button. Clicking Submissions not yet approved will display a list of all submissions you have created and where you are listed as PI, study contact, or faculty advisor that have been submitted to the IRB and have been signed, but have not yet received approval.



Pre-review requests corresponds with the Pre-review requests button.



CAL responses correspond with the Submissions requiring a CAL response button.



This drop-down also holds views for *Submissions in route* and *Submissions I've signed*. Clicking *Submissions in route* will display a list of submissions pending signature from another person. Clicking *Submissions I've signed* will display a list of all submissions signed by you.

Vanderbilt IRB	Dashboard	Submissions -	Studies -		
		Drafts			3
		Requiring my sig Pre-review reque CAL responses	gnature ests		
	Impc <u>covid-1</u>	Submissions in r Submissions I've	route e signed	ients	
harmond	Things to	Submissions not	t yet approved	conduct rop	

Clicking *Studies* will display another drop-down menu. This menu holds items corresponding with the studies lists on the Dashboard, as well as *Inactive studies*.

Vanderbilt IRE	Bashboard	Submissions -	Studies -			ş	
			My studies Studies listing me as KSP			}	
			Inactive studies		+ Create a new study	Ì	
					➡ Tissue or Cell Registries	Ì,	
Important Announcements							

My Studies corresponds with the My Studies button.

Vanderbilt IRB	Dashboard	Submissions -	Studies -			
			My studies Studies listing ma as KSP			
	Impo	ortant Anr		1 Draft submissions	Submissions requiring my signature	
	COVID-1	<u>) Update</u>		My studies Studies listing me	as KSP	
	i nings to	consider as you re	estart research:	Approved Studies	•	

Studies listing me as KSP corresponds with the *Studies listing me as KSP* button

Vanderbilt IRB	Dashboard	Submissions -	Studies -					
			My studies Studies listing me as KSP Inactive studies		1 Draft submissio	ons	Submissions requiring	Subr
		ortant Ann 9 Update	ouncements		My studies Studies	listing me as	my signature KSP	
	Things to	consider as you re	estart research:	•	Approved Stu	udies 8		

Clicking Inactive will display a list of your inactive studies.

Vanderbilt IRB	Dashboard	Submissions -	Studies 🗸	
			My studies Studies listing me as KSP	
			Inactive studies	+ Create a new study
				+ Tissue or Cell Registries
man	Impo	ortant Ann	ouncoments	 Mar Marmora and

IRB Training Requirements

IRB Training expiration can be easily viewed on the Investigator Dashboard using the gauge displaying days until expiration.



If the required training requirements were updated after 1/15/2021, the dashboard will have a date statement rather than a gauge notifying the date when training will expire.



Click on the link *under the gauge or date*, titled *View IRB Training* will open the view of your training status.



This view displays your IRB Training status and expiration date.

anderbilt IRE	B Dashboard	Submissions -	Studies -			
	Home » Training	9 Summary				
Ţ	🗢 IRB Trair	ning Summa	ary			
		IRB	Name: Training Status: Expiration Date:	Requirement Met 6/12/2021	Training expires in:	
	History					
	Implementation o	of Changes to the Co	mmon Rule for Res	search		
	IRB Annual Traini 6/26/2019	ing 2019				
	IRB NYCU: Revis 11/25/2019	sed Common Rule s	IRB Requirement			

If you submitted a training certificate or completed training in the CITI program, your history will contain the certificate. Clicking on the document link will allow you to download the certificate.

Note: if you completed training through the Learning Exchange or Oracle, your certificates will be located in the Certificate or Transcript sections of these platforms.

Vanderbilt IR	B Dashboard	Submissions -	Studies -		
	Home » Training	g Summary			
	🔊 IRB Trair	ning Summa	iry		
		IRB	Name: Training Status: Expiration Date:	Requirement Met 6/12/2021	Training expires in:
	History				
	Implementation o	of Changes to the Co	mmon Rule for Res	earch	
	IRB Annual Traini 6/26/2019	ing 2019			
	IRB NYCU: Revis 11/25/2019	sed Common Rule sl	RB Requirement		

To look up a training certificate for another member of KSP, enter the name or VUNet ID into the Look up another user textbox. Their IRB Training Summary will appear and will have the same features (expiration date, downloadable certificate, etc.).

Home 🔉	Training	Summary
--------	----------	---------

➢ IRB Training Summary

Name: IRB Training Status: Requirement Met Expiration Date: 6/12/2021



History
Implementation of Changes to the Common Rule for Research 1/30/2019
IRB Annual Training 2019 6/26/2019
IRB NYCU: Revised Common Rule sIRB Requirement 11/25/2019
Group 1.Biomedical Research Investigators and Key Personnel 1/16/2020
Group 2.Social Behavioral Investigators and Key Personnel 1/16/2020
VHRPP Annual Training - 2020 6/12/2020
Look up another user

Helpful Hints and Tips

New study submissions are the only submissions created from the Investigator Dashboard. Clicking the *Create a new study* button will begin the process of creating that new study submission.



Throughout the website and application, you will find small black circle icons with an 'i' inside. These are information buttons. Hovering your mouse over these icons will display helpful information about that item. For example, hovering over this icon next to the Approved Studies heading displays information regarding the use of the Approved Studies view.

1 Draft ei bielsslouis	Submissions requiring			Pre-review requests	Submissions requiring a	Site visit requests
Click on the access th	e study title below to nature e study dashboard				CAL response	
My studies review, advertised of the studies review of the studies	can manage KSP, ocuments, or create dment, continuting rse event, or report of -compliance.					
Approved Studies	5 0					a
Study Title	IPR #	PI	Study Contact		Study Expiration	

Another useful tool for moving through the submission process is the *Help resource tab*. The Help resource tab is present on the left side of the screen and can be expanded to review information on how to facilitate answering the questions on each page.

Home » Draft Submissions » Submission: "DISCOVR-e Manual Te	est Study - Standard''		
DISCOVR-e Manual Test Study -	Standard		
Main KSP Fanding Performance Sites Applic	cation Document Uploads	Submit Reviews	
Functing Questions Dees the study involve the use of Vanderbilt hospital facilities or assays related to human samples/tissue? Yes No Does this work of the state of the	Funding Sources		
Related Studies			
No related studies have been added.			

To expand the Help tab, click the *Help* tab which will slide out and provide information on how to complete the elements of each page from the KSP tab through Submit.



Submitting a New Study

Submitting a new study through the DISCOVR-e portal does not require any special training. The following guide is a basic set of instructions and illustrations to help you in the submission process. If you have not logged into the system yet, please follow the instructions provided above.

Click on the Create a New Study button on the right side of the page to start the process.



After selecting the *Create a New Study* button, you will be prompted to begin your new study submission in *eSMART*. This feature provides a central location to view the status of your study's approval/regulatory requirements. To proceed in eSMART, click the *Start in eSMART* button. To bypass this feature, click the *Continue New Submission* button.

Note: only one selection is needed either eSmart or Continue New Submission.

Vanderbilt IRB Dashboard Submissions - Studies -		VUMC
Before you begin	×	
 It the study includes: A clinical intervention Use of clinical space, clinical supplies or approved/investigational medications/biologics/devices Research-related orders placed in eStar Use of any VUMC COREs, including CRC Any clinical services (ratiology, pharmacy, lab, etc.) VICTR application Start in eSMART Please report any issues th research such as safey iss begin your research, or that 	tries	Tweets by @VHRPC WHRP WHRP WHRP Where W
to the IRB as an amendmen		and and a second

You will begin your new study submission by typing in a title for the study. Next, enter the Principal Investigator (PI) for the study. You can search for the Investigator by first and/or last name or even do a partial name search. Click the name of the PI you want to use. If you are not able to find the Investigator in the database, save your work and contact the IRB at 615-322-2918 for assistance.

Indicate whether or not the Principal Investigator is a student. If the answer is *yes*, you will be prompted to identify a *Faculty Advisor*. Selecting a Faculty Advisor follows the same process as choosing a Principal Investigator.

If applicable, select a Study Contact by choosing the appropriate contact person from the database. The process for indicating a study contact is the same as outlined previously for selecting a Principal Investigator or Faculty Advisor.

**All new study submissions require a department chair and/or a division chief signature.* The process for indicating this individual is the same as previously outlined. If you experience trouble identifying the department chair and/or a division chief for your research, save your work and contact the IRB at 615-322-2918 for assistance.

Vanderbilt IRB Dashboard	Submissions + Studies +
New Study	
	Study Title
Complete your new study	Principal Investigator
information here.	Is the PI a student, resident, or fellow?
	Study Coordinator Include the name of the Department
	Chair or the Division Chief.
	Create New Study Create New Study Create New Study Create New Study Submission.

*Only ONE of these are required for submissions.

Click on the *Create New Study* button at the bottom of the page to advance in the new study creation process.

Selecting and Managing Key Study Personnel

Under My studies, Click on the title of study you wish to add KSP.

NOTE: If a KSP's requirement for annual VU IRB Human Subjects Training is not current, the individual may not be added to the KSP listing at this time. Those individuals may find information on how to update training at <u>https://www.vumc.org/irb/education-and-training-0</u>.

8 Draft submissions	Submissions requiring my signature	1 Pre-review requests	4 Submissions requiring a CAL response	Site visit requests
My studies Studies listing me	as KSP			٩
My studies Studies listing me	as KSP	IRB # PI	Study Contact	Study Expiration 1
My studies Studies listing me Approved Studies Image: Comparison of the study study study Study Title VICC NEU1424: Quantitative H	as KSP 3 ilgh-Field Magnetic Resonance	IRB # PI	Study Contact	Q Study Expiration 11 11/30/2019

Click on the KSP tab

New Test Study IRB #180002 PI Cyr, Ciara Info KSP Approved Documents Submiss	ssions Performance Sites Create Submission
1	New Test Study
Click on the KSP tab	Prinicipal Investigator Cyr, Clara Status Approved Committee BSC Study Coordinator Jason Tan IRB Number 180002 Study Expiration Date Unknown
	NCT ID (ClinicalTrials.gov registry number)
	Billing Contact/Grants Manager
	Save

The PI, Study Coordinator, and Faculty Advisor, if applicable, identified in the *Info* tab will be the first to populate into the Key Study Personnel (KSP) tab.

Under the *Current KSP* tab, begin typing the name, email, or VUNetID in the *Add another* search field. You will be required to enter credentials, pager number, role in project and whether the KSP will be accessing Protected Health Information. These fields must be completed in order to advance to the subsequent sections of the Application Wizard. Repeat this process until you have identified all of the individuals that will help in the conduct of your research.

IRB PI. Info	IRB T ksp	est: TIN CIR	B (VUMC	C): Com	Performance Sites	Oral anticoagu	lants	Make sure all items in the list are completed, including Accessing HIPAA.				
Billing C	Contact/Gra	ints Manager					Ļ		´↓	Accessing	Human Subjects	Pri GCP
	VUNetID	F	First Name	Last	Name	Department	Credentials 0	Phone	Role in Project	(HIPAA)?	Expire	Expir
VUMC						Human Research Protection P	MD	615-875-9905	Principal Investigator	YES 🗸	13-Aug- 2021	[N/A
× VUMC						Human Research Protection P	BA, CIF	615-875-8961	[Select a Role] V	Select ~	06-Jan- 2024	23-D 2023
+ Add ano Start typing Save	ther: to search l	by name, email, or VUnetl	D						- Alfred and			

Hit the *Save* button to save your changes.

To remove a person from the list of KSP, click the *trash icon* next to the VUNetID of that person's name. The individual will be removed from your KSP listing.

IRB #	3 Test	: TIN CIR	B (VUMC)	: Com	parison of	Oral anticoagu	ulants					
Info KSP	Appro	wed Documents	Submissions	Funding	Performance Sites	Create Submission						
L Billing Contact	t/Grants M	anager										
L Current KSP	History	Click the tra remove Personnel ar document	ash can icon to Key Study nd click Save t t the update.) :0						Accessing	Human Subjects	A Print GCP Training
V. N	letID	First	Name	Last Nam	e	Department	Credentials 0	Phone	Role in Project	(HIPAA)?	Expire	Expire
VU Y						Human Research Protection Pr	MD	615-875-9905	Principal Investigator	YES 🗸	13-Aug-	[N/A]
TUMC ,						Human Research Protection Pt	BA, CIP	615-875-8961	Research - Clinical 🗸	YES 🗸	06-Jan- 2024	23-Dec 2023
+ Add another: Start typing to sea	arch by nam	ne, email, or VUnetIC)									
Save								-				

Click the *restore icon* to restore a person's name. If you do not have any other KSP to add/delete, please click the *Save KSPs* button and proceed to the next section.

	KSP Approved Documen	ts Submissions	Funding Performance Sites	Create Submission						
L Billing Co	ntact/Grants Manager	You ha clicking th in the eve wrong m	ve the option of ne Restore button nt you choose the ember of KSP to							
L Current K	SP History	remove	from the study.							🖨 Print
		After select Save to	cting restore, click document the					Accessing PHI	Human Subjects Training	GCP Training
1000MT	VUNetio	First Name	Last Name	Department	Credentiais U	Phone	Role in Project	(HIPAA)?	12 Aug	Expire
Volue	CEXA13	Tiffany	Alexander	Human Research Protection Pr	MD	615-875-9905	Principal Investigator		2021	[revo]
Vume	ARRINGJG	James	Arrington	Human Research Protection Pr	BA, CIP	615-875-8961	Research - Glinical V	YE6 🗸	06-Jan- 2024	23-Dee- 2023
Start typing	ner: o search by name email or V	UnetID								
Start typing	o search by name, email, or V	UnetID								

The history tab allows you to see the date KSP were added/removed. You can also see who added/deleted KSP.

IRB #180002 PI Cyr, Ciara Info KSP App Current KSP Filtery	or Study	ormance Sites	The history tab a were added/rem add	lows you to see the date KSP oved. You can also see who ed/deleted KSP.		
Date Added	Date Removed	VUNetID	First Name	Last Name	Added By	Deleted By
02/05/2018		CYRC	Ciara	Cyr		N/A
02/05/2018	03/02/2018	GUSTAVKD	Kevin	Gustavson		MILFORJ
03/20/2018		GUSTAVKD	Kevin	Gustavson	MILFORJ	N/A
02/05/2018		MILFORJ	Joshua	Milford		N/A
02/18/2019		STRAZNKL	Kristin	Straznicky	CYRC	N/A
02/05/2018		TANJW1	Jason	Tan		N/A

Completing the Funding Tab

You can add funding sources to your study by importing information from the Office of Contracts Management or the Office of Sponsored Programs or by keying the funding source. We suggest importing as it helps us ensure we have the right information for your IRB submission.

DISCOVR-e Manual Test St	udy - Standard		
Main KSP Funding Performance Sites	Application Document Uploads	Submit A	
Funding Questions Does the study involve the use of Vanderbilt hospital far assays related to human samples/tissue? Yes No Does this study have an associated billing plan? Yes No	Step 1: Answer these two questions.	Step 2: Click this buttor Add a Funding Source	Add or Import a Funding Source
Related Studies			
No related studies have been added.			
Add Related Studies	b 3: Click this button to Add any Re that also include this funding so and the source of the sour	lated Studies burce.	
DISCOVR-e Manual Test Stu Main KSP Funding P Lance Sites	Import a Funding Source	×	
Funding Quest You can search	Search by contract/grant number	Search	\$ Add or Import a Funding Source
Does the study involue by Contract or transformed assays related to Grant number or Principal Involue study involue to the study in study involue to the study involue to the st	Or Search by Pl		2
Does this study have un asso > Yes ○ No			- AL
B.P.#		Add a new funding source Close	1
FACTr #	for free of ground of		

Contracts show up in green and Grants show up in blue to make it easier to find what you are looking for. You can search by any investigator to find what you need, but if you know the Contract or the PEER number, you can search by that as well.

V Studies + Invoices 1	Import a Funding Source		×
Home > Draft Submissions > Submission: "yep"	Search by contract/grant number		
Yep Main KSP Funding Performance Sites Application Document Upload Funding Questions Image: Comparison of the second secon	Or Search by PI RENNERCR CONTRACT CONTRACT Project Title: Sponsor Number: Sponsor Number: Sponsor Type: Sponsor Type:	51321 Example File for contract setup demo Pfizer incorporated ASC123 Profit Contract - Pending	Our system will provide all current projects that match your search within PEER. When you find the correct contract or grant, click the Import button.
FACTr# FACTr# ←Back Save → Save and Continue	CONTRACT Contract # Project Title Sponsor Name: Sponsor Number: Sponsor Type: Status:	51580 Example contract for staff meeting Pitzer incorporated Abct23xyz Profit Contract - Pending	
	CONTRACT Project Title Sponsor Name: Sponsor Number: Sponsor Type: Status:	51680 Import Test Title NH PA-52-R01 Federal Contract - Pending	Vanderbill University Institutional Review Board 1513 21st File 30, 8 Julie 300 Washreit, 112 222 43 9 (615) 322 2910
	CONTRACT Contract # Project Title:	51956 Import	

If your study does not include contract or grant, you can still tell us more about any additional funding you may have such as VICTR funds.

🛞 Analyst Checklists Human 🗙 New Tab 🗙 🛛 🗙 MSN C	Dutlook, Office, Skyp 🗙 🛛 🚺 Vanderbilt IRB	X Stamped-Patient Information X	VUMC Finance 🗙	New Tab	🗙 📓 Vanderbilt IRB 🛛 🗙	+ -	ø	×
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Des the study involve the use of Vanderbilt hospital facilities or assays related to human amples/tissue? Yes ◎ No Does this study have an associated billing plan?	PROFIT PROFIT PRIZE Incorporated	ABC123 ABC123 t# 5121 tu Contract-Pending Example File for contract setup demo
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FACTr#		
	e your	
		Vanderbilt University Institutional Review Board 1312 21st Ave 8, Sunt 505 Nashvile, TY 37223-435 (615) 322-2918

Completing the Performance Sites Tab

The Performance Sites tab will hold all the information about other sites involved in the study that are:

- 1) Performance sites for which the Vanderbilt PI is responsible that are either engaged or not engaged in research.
- 2) Participating sites in a Single IRB Study for which Vanderbilt is the reviewing IRB.

	DISCOVR-e Manual Test Study - St	andard
	Main KSP Funding Performance Sites Application	Document Uploads Submit Reviews
	Performance Sites	
	Multi site study where VU/VUMC PI is responsible for other sites]
Help	Note: For PI-initiated studies where Vanderbilt PI is responsible for other List all other Performance Site(s) that are engaged or not engaged in rese not (i) intervene or interact with living individuals for research purposes; or award to support such research. This applies if a VU or VUMC investigate instructions for examples of what may be considered 'not engaged in rese	Ites. Not required for multi-site industry sponsored studies. arch where VUMC is responsible. An institution or performance site is considered "not engaged in research" when its employees or agents do (ii) does not obtain individually identifiable private information for research purposes; or (iii) if the institution does not receive a direct federal "will be conducting research at a non-VU or VUMC site or institution (e.g., when collecting specimens or information). Please refer to the arch." See IRB Policy I.C.
	Name Site is engaged in research	Approval letter/letter of cooperation
	+ Add a Site	and the second second second

Note: Once a study is approved, this information is managed differently. Please see the Site Addition Submission for a Single IRB Studies section of this manual for further instructions on how to manage performance sites after initial study approval.

To add Performance Sites for which the Vanderbilt PI is responsible, click *Add a Site* under the Multi site study where VU/VIMC PI is responsible for other sites section.

C	ISCOV	R-e Manual Test	Study - Sta	ndard			
	Main KSP	Funding Performance S	ites Application	Document Uploads	Submit	Reviews	
F	Perform	nance Sites					4
	Multi site stud	y where VU/VUMC PI is respons	ible for other sites				
He	Note: For Pl-init	iated studies where Vanderbilt PI is r	asponsible for other site	es. Not required for multi-si	te industry sp	onsored studies	s.
•	List all other Per not (i) intervene award to suppor instructions for e	formance Site(s) that are engaged o or interact with living individuals for r t such research. This applies if a VU examples of what may be considered	not engaged in resear asearch purposes; or (i or VUMC investigator v "not engaged in resear	ch where VUMC is respon-) does not obtain individua vill be conducting research ch." See IRB Policy I.C.	sible. An instit Ily identifiable at a non-VU	ution or perform private informa pr VUMC site or	mance site is considered "not engaged in research" when its employees or agents do ation for research purposes; or (iii) if the institution does not receive a direct federal ir institution (e.g., when collecting specimens or information). Please refer to the
	Name	Site is engaged in research			Approval le	etter/letter of co	poperation
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Enter the name of the site

F	Performance Sites
	Multi site study where VU/VUMC PI is responsible for other sites
	Note: For PI-initiated studies where Vanderbilt PI is responsible for other sites. Not required for multi-site industry sponsored studies. List all other Performance Site(s) that are engaged or not engaged in research where VUMC is responsible. An institution or performance site is considered "not engaged in research" when its employees or agents do not (i) intervene or interact with living individuals for research purposes; or (iii) of the institution does not receive a direct federal award to support such research. This applies if a VU or VUMC investigator will be conducting research at a non-VU or VUMC site or institution (e.g., when collecting specimens or information). Please refer to the instructions for examples of what may be considered "not engaged in research." See IRB Policy LC.
J	Name Site is engaged in research Approval letter/letter of cooperation
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Indicate if the site is engaged or not engaged in research

Performance Sites			2
Multi site study where VU/VUMC PI is responsible	for other sites		5
Note: For PI-initiated studies where Vanderbiit PI is respo- List all other Performance Site(s) that are engaged or not not (i) intervene or interact with living individuals for resea award to support such research. This applies if a VU or V instructions for examples of what may be considered "not	nsible for other sites. Not required for engaged in research where VUMC is rich purposes; or (ii) does not obtain in UMC investigator will be conducting r engaged in research." See IRB Polic	r multi-site industry sponsored studies. s responsible. An institution or performance site individually identifiable private information for r seearch at a non-VU or VUMC site or institution sy I.C.	e is considered "not engaged in research" when its employees or agents do research purposes; or (iii) if the institution does not receive a direct federal on (e.g., when collecting specimens or information). Please refer to the
Name	Site is engaged in research	Approval letter/letter of	cooperation
	No No Yes	~	K Remove
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And indicate if the approval letter or letter of cooperation for that site is attached with this submission or if the letter is pending and will be submitted at a later date.

	Performance Sites			
	Multi site study where VU/VUMC PI is responsible for	r other sites		
	Note: For PI-initiated studies where Vanderbilt PI is respons List all other Performance Site(s) that are engaged or not en not (i) intervene or interact with living individuals for research award to support such research. This applies if a VU or VUN instructions for examples of what may be considered "not en	ble for other sites. Not required for multi-site industry et gaged in research where VUMC is responsible. An ins purposes; or (ii) does not obtain individually identifiat IC investigator will be conducting research at a non-VU gaged in research." See IRB Policy I.C.	sponsored studies. titution or performance site is considered "not engaged in research" wh le private information for research purposes; or (iii) if the institution does J or VUMC site or institution (e.g., when collecting specimens or information)	an its employees or agents do s not receive a direct federal ation). Please refer to the
		No ~	Approval letternetter of cooperation	* Remove
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To remove a site once you have added it to the table, simply click the *Remove* button next to that site.

Perfo	rmance Sites		le l
Multi site s	study where VU/VUMC PI is responsible for other sites		5
Note: For F List all othe not (i) interv award to su instructions	I-initiated studies where Vanderbilt PI is responsible for other sites. Not required for r Performance Site(s) that are engaged or not engaged in research where VUMC i ene or interact with living individuals for research purposes; or (ii) does not obtain port such research. This applies if a VU or VUMC investigator will be conducting for examples of what may be considered "not engaged in research." See IRB Polic	rr multi-site industry sponsored studies. s responsible. An institution or performance site is co individually identifiable private information for researc research at a non-VU or VUMC site or institution (e.g cy I.C.	nsidered "not engaged in research" when its employees or agents do th purposes; or (iii) if the institution does not receive a direct federal , when collecting specimens or information). Please refer to the
Name	Site is engaged in research	Approval letter/letter of coope	ration
	No	~	✓ Att Remove
+ Add a Si	Jacob and the second	man and and and and and and and and and a	and many from the second second second second

If the study is a Single IRB Study for which Vanderbilt is serving as the Single IRB, include the relying institutions in the *Vandebrilt Serving as the Single IRB* box.

ſ	Vanderbilt Serving as a Single IRB	
H	This may apply when a VU or VUMC investigator collaborates with a non-VU or VUMC investigator or institution, or when VU or VUMC serves as a Coordinating Center. Note, if VUMC will not act as the IRB of record, those specific sites will require a letter of IRB approval. See IRB Policy IRB Policy I.C.	
J	Site Lead Site	5
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	Additional Single IRB Information	5
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	Requested Sites	ſ
	Please list performance sites (including primary contact and phone number) not available above.	ŗ
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To add a Single IRB Performace Site, click Add a Site.

		Į.
	Vanderbilt Serving as a Single IRB	E
н	This may apply when a VU or VUMC investigator collaborates with a non-VU or VUMC investigator or institution, or when VU or VUMC serves as a Coordinating Center, Note, if VUMC will not act as the IRB of record, those specific sites will require a letter of IRB approval. See IRB Policy IRB Policy I.C.	Ì
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	Additional Single IRB Information	ŕ
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	Requested Sites	Į
	Please list performance sites (including primary contact and phone number) not available above.	ļ
5	have a second a second second a second s	\geq

Begin typing the name of the site in the box labeled *Site*.

+ Add a Site			
vanderbilt Serving as a Single IRB			
This may apply when a VU or VUMC investigator collaborates with a non-N Coordinating Center. Note, if VUMC will not act as the IRB of record, those	VU or VUMC investigator or institution, or when VU or VUMC serves a a specific sites will require a letter of IRB approval. See IRB Policy IRE	is a 5 Policy I.C.	
Site	Lead Site		
		× Remove	
+ Add a Site			
Additional Single IRB Information			
	and have proved and		

As you begin typing, options of sites that are already in the system will appear. Select the site from these options.

			5
	Vanderbilt Serving as a Single IRB		
Hel	This may apply when a VU or VUMC investigator collaborates with a non-VU or VUM Coordinating Center. Note, if VUMC will not act as the IRB of record, those specific s	MC investigator or institution, or when VU or VUMC serves as a sites will require a letter of IRB approval. See IRB Policy IRB Policy I.C.	Ş
_	Site	Lead Site	S
	John Hopkins Health System & Johns Hopkins Hospital - FWA00006087	C Kemove	Ş
	Johns Hopkins Health System & Johns Hopkins Hospital - FWA00006087		ړ
	Johns Hopkins University School of Medicine - FWA00005752		à
	Johns Hopkins All Children's Hospital - FWA00005752		ζ.
	F		1
	Additional Single IRB Information		5
	Degreeated Sites		Ś
5	Requested Siles	and were a france of a set of group and a set of	r

If the site you would like to add is not included in the drop down list, move to the section below Additional Single IRB Information labeled *Requested Sites*. In this text box, include the name of the site, the primary contact and phone number for that site.

Additional Single IRB Information	
Requested Sites	
Please list performance sites (including primary contact and phone number) not available above.	
Type in any site that is not available from the list in the above section.	

Indicate which site is the lead site for the study by clicking the check box next to that site on the *Vanderbilt Serving as a Single IRB* table.

Coordinating Center. Note, if VUMC will not act as the IRB of record, those specific sites will require a letter of IRB approval. See IRB Policy IRB Policy I.C.			
ite	Lead Site		
Johns Hopkins University School of Medicine - FWA00006752		× Remove	
University of Utah - FWA00003745		× Remove	
Vanderbilt University Medical Center - FWA00005756		× Remove	
University of Maryland Baltimore - FWA00007145		× Remove	

Note: If Vanderbilt University Medical Center or Vanderbilt University are participating in the study, they **<u>WILL</u>** need to be included in this performance sites table.

To remove a site from this table, click the *Remove button*.

	Vanderbilt Serving as a Single IRB		
•	This may apply when a VU or VUMC investigator collaborates with a non-VU or VUMC investigator or institution, or Coordinating Center. Note, if VUMC will not act as the IRB of record, those specific sites will require a letter of IRB	when VU or VUMC serves as a approval. See IRB Policy IRB Policy I.C.	
	Site	Lead Site	
	Johns Hopkins University School of Medicine - FWA00005752]	* Remove
	University of Utah - FWA00003745		X Remove
	Vanderbilt University Medical Center - FWA00005756		X Remove
	University of Maryland Baltimore - FWA00007145		X Remove
	+ Add a Site		
	and the second of the second o	and the second s	and the second and a second

Next, answer either yes or no to the following question: *Have you completed the Vanderbilt Reliance Interest Form to ask the VUMC IRB to serve as the Single IRB?*

	Have you completed the Vanderbilt Reliance Interest Form to ask the VUMC IRB to serve as the Single IRB?	5
	Yes No	
	Coordinating Center	4
Holp	Is a coordinating center managing IRB submissions?	Ś
	Yes No	Ş
		Ę
	← Back Save → Save and Continue	
h	and the second and the second and the second and the second second second and the second s	~

If yes was selected, move to the Coordinating Center question, *if applicable*. No other actions are needed at this time.

Have you completed the Vanderbilt Reliance Interest Form to ask the VUMC IRB to serve as the Single IRB?	
Coordinating Center Is a coordinating center managing IRB submissions? Yes No	
← Back Save → Save and Continue	

If no was selected, a notification will appear prompting completion of this form first before moving on in the Performance Site process. Click the link to access the Vanderbilt Reliance Interest Survey. Continue to the Coordinating Center question.

Have you completed the Vanderbilt Reliance Interest Form to ask the VUMC IRB to serve as the Single IRB? Yes No	
Please complete the Vanderbilt Reliance Interest Survey here: Vanderbilt Reliance Vaterest Survey.	
Coordinating Center Is a coordinating center managing IRB submissions?	
Yes No	

If the study involves a Coordinating Center, complete the following:

To add a *Coordinating Center*, change the response for the coordinating center question from No to Yes and enter the appropriate information in the boxes that appear.

s a coordinating center managing IRB submissions?	
Yes No	
162 140	
Name	
0	
Contact name	
2	
Contact email	
3	
Contact phone	
4	
~	
If the study will not involve a Coordinating Center, click no (Number 1), then Save and Continue (Number 2) to move to the next page.

Ha	Ive you completed the Vanderbilt Reliance Interest Form to ask the VUMC IRB to serve as the Single IRB? Yes No	
	Please complete the Vanderbilt Reliance Interest Survey here: Vanderbilt Reliance Interest Survey	1
	Coordinating Center	4
	Is a coordinating center managing IRB submissions?	
+	Back Save And Continue	•

Completing Your Wizard Application

You will begin your *Wizard application* by answering the questions found in each application tab. Your response(s) will prompt additional selections to populate regarding your submission. As you work through your submission, you will notice a progress bar building across the top of the page.

You can click any of application headings on the left side of your screen to go back and view or edit your submission.



Once all of the tabs appropriate for your research are complete, you have finished your *Wizard* application. Incomplete submissions can be saved and returned to later. These submissions can be accessed via the *Drafts submission* button on your Investigator Dashboard.

Note: The submission portal will not allow an incomplete submission to be submitted or routed for signature.

You may choose to download an electronic version of the IRB Application by clicking the *Download Application* button as shown in the example above.

To proceed to the next section of the submission process, click the *Continue* button.

Document Uploads

The Documents Uploads section is where you will attach/upload additional study documents such as consent forms, protocols, questionnaires, and any other study related documents or materials. Uploading documents is easy! You may upload/attach documents from locations saved on your computer by dragging and dropping the item(s) into the proper field or by browsing your computer for the appropriate file and attaching the document the standard way. To utilize the drag and drop feature, click the *Drag and Drop* button to activate it.

Next, select the document you wish to upload and drag it to the proper field and release the document. Multiple uploads may be dragged and dropped at a time if they will be uploaded to the same field. Otherwise, attachments must be uploaded one at a time. As you load additional items, a list will build showing what has been attached to your submission.

DISCOVR-e Manual				<u>\</u>
Main KSP Funding Performance Sites	Application Document Uploads	Submit Reviews		
	Attach Documents			Drag and Drop Standard
	Drag file into the region for the appropriate d	locument type. Maximum accepted file size is	512MB.	
	Protocol	RB Application	Continuing Review Application	Consent-Assent Document
	🍫 Grant	Investigator's Brochure	Advertisement	Recruitment
	Study Measures	Study Materials	n Other	

To upload/attach a document the standard way, click the *Standard* button to activate it. Click the *Choose File* button under the *Attachment* section to search your computer for the item you would like to attach. Select the item and then click the *Open* button. The item you selected will be automatically attached to your submission for review.

Next, indicate the type of study document by clicking the one of the choices from the dropdown menu. Once the type of study has been selected, click *Upload* to attach the document to your submission. Attachments should be uploaded one at a time. If you have a group of consent forms, you may zip them and attach the zip file as one attachment. However, we ask that you <u>do not</u> provide a zip file for <u>all</u> of your study documents.

DISC	OVR	e Mar	ual		
Main	KSP	Funding	Performance Sites	Application Document Uploads Submit Reviews	
1				Attach Documents	Drag and Drop Standard
J				Maximum accepted file size is 512MB. Attachment Choose File No file chosen Type of study document	
				[Select Document Type]	•
				Solution (Section Comment Type) Protocol IRB Application Consent-Assent Document Grant Investigator's Brochure Advertisement Recruitment Study Measures Study Materials Other	
				Dekte selected files ◆ Download all ◆ Hack ◆ Continue	

If you would like to delete an attachment, click the box next to item you wish to remove then click the *Delete Selected Files* button.

	File Name	Created On	Created By	File Size			
Protocol							
	Protocol.pdf	2/18/2019	CYRC	5 MB			
Conse	nt-Assent Document						
	Assent Form Ages 13-17.docx	2/18/2019	CYRC	64 KB			
	Assent Form Ages 7-12.docx	2/18/2019	CYRC	64 KB			
	Informed Consent Document Parents.docx	2/18/2019	CYRC	64 KB			
	Informed Consent Document Adult.docx	2/18/2019	CYRC	64 KB			
Investi	gator's Brochure						
	IB.pdf	2/18/2019	CYRC	109 KB			
Recrui	tment						
e	Study Brochure.docx	2/18/2019	CYRC	428 KB			
Study	Measures						
	Survey.docx	2/18/2019	CYRC	12 KB			
	2						
Delete selected files Download all							

To continue with submission process, click the Continue button.

Your submission is now ready to be submitted. Click the *Submit* button to route your submission for the appropriate signatures.



As other signatures are obtained, the submission is automatically routed through the submission portal and ultimately ends its journey at the IRB. Once it arrives at the IRB, the submission will be assigned an IRB number and routed to one of the teams for review.

How to Retract a Submission

You may choose to retract a submission if you wish to make additional edits, or if you wish to delete the submission all together. *Please note, you can only retract submissions that have not been formally submitted to the IRB.*

Only once a submission has been routed for additional signatures, such as those from a department chair and/or a division chief signature, shall it be retracted. To retract the submission after it has been signed off on by the PI, select the *Submissions* dropdown menu at the top of the screen and click the *Submissions in Route* button.

Vanderbilt IRB Dashboard	Submissions - Studies -		
	Drafts	Submission signature successful	
Г	Requiring my signature Pre-review requests CAL responses Submissions In route	Select the "Submissions" dropdown menu at the top of the screen and click	Q
L .	Submissions I've signed	the "Submissions in Route" button.	PI Status
			SIGNATURE
	The local sector of the lo	4/21/2015 NEW STUDY	SUBMITTED
		4/16/2015 CONTINUING REVIEW	SUBMITTED
		4/15/2015 NEW STUDY	SUBMITTED
		4/9/2015 NEW STUDY	SUBMITTED
	In the second second second	4/8/2015 NEW STUDY	SUBMITTED
	In the Original Association	4/8/2015 NEW STUDY	SUBMITTED
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Vanderbilt IRB Dashboard	Submissions - Studies -			
	Home >> Submissions In Route			
	My submissions Submissions for studies listing me as KSP			
	C Submissions In Route			٩
	Study Title IRB # Last Updated	Туре	PI	Status
	4/29/2015 4/29/2015 Locate the submission you wish to retract by selecting the study title of that submission.			PENDING DEPT CHAIR SIGNATURE
				Vanderbilt University Institutional Review Board discovere Qvanderbilt edu 1313 21st Ave S, Suite 504 Nashvile, TN 37232-4315 (615) 322-2918

Locate the submission you wish to retract by selecting the study title of that submission.

Click the *Retract Submission* button and then select *OK* on the confirmation prompt to continue with the submission retraction process.

01 💟 Contracted 💟 0110 💟 Teams V Cares V Clic2200 e Project 🛐 VHDD 📣 C	irbdemo.mc.vanderbilt.edu says	e_templete_tempus 🗢 🗢 \$1600 🥳 CHRP 🔞 Activitys
RB Dashboard Submissions + Studies +	Are you sure?	
missions Pending My Signature > Submission: "1 "	OK Cancel	
SP Funding Performance Sites Application Document Uploads Signat	ure Reviews	
Study Details		
ID Study Title Status Principal investigator Study Coordinator Department Chair Di Vision Chief Student PI? No	R SIGNATURE	O Refract Submission
🖸 Signature History		•
Principal Investigator Signed by Department Chair* not yet signed	on 2/7/2019 at 12:22pm	

Your submission should now be in your *Drafts Submissions* view within your Investigator's Dashboard.

Vanderbilt IRB Dashboard	Submissions - Studies -					
		"New Study Title" has been	retracted and sent	t back to draft status		
	Home » Draft Submissions					
					+ Create a new stud	ly submission
	🖍 Draft Submissions					٩
	Study Title	IRB#	Last Updated 🗜	Туре	PI	Status
	New Study Title		4/29/2015	NEW STUDY		DRAFT
			4/28/2015	NEW STUDY		
	Your submission should now be least	od	4/23/2015	CONTINUING REVIEW		
	within the "Draft Submissions" regio	eu n.	4/22/2015	AMENDMENT		100
			4/21/2015	AMENDMENT		1000
			4/13/2015	AMENDMENT	in the second	100

If you need to revise any information within your submission, navigate to the appropriate tab of your submission and update where necessary.

Home » Draft Submissions » Submission: "DISCOVR-e Manual"		
DISCOVR-e Manual		
Main KSP Funding Performance Sites Application Document Uploads	s Submit Reviews	
Navigate through your study tabs to edit any information within your submission.	Study Title DISCOVR-e Manual Principal Investigator Cyr, Clara - CYRC - VUMC Is the PI a student, resident, or fellow? No Yes Study Coordinator Cyr, Clara - CYRC - VUMC Department Chair Cyr, Clara - CYRC - VUMC Division Chief In Division Chief Save Save and Continue	ou want to lelete the ibmission, mply click e "Delete" button.

If you would like to delete your submission, simply click the *Delete* button.

After making your edits, select the *Save and Continue* button to save your changes and to advance you back to the *Submit* tab. Click the *Submit* button to re-route your submission for the appropriate signatures.



How to Respond to a Pre-Review

The Principal Investigator and Study Contact will receive an email when a pre-review request has been received. The link in this email will lead to the submission. These submissions can also be accessed on the dashboard. The pre-review requests button will contain a counter listing the number of items with pre-review requested changes.

The number in this box reflects the number of Draft submission items with a requested pre-review. Select this button to view all requests.	Pr	e-review requests	4 Submissions requiring a CAL response	Site visit requests
My studies Studies listing me as KSP				
Approved Studies				
Approved Studies O Study Title	IRB #	PI	Study Contact	Study Expiration 1
Approved Studies Study Title VICC NEU1424: Quantilative High-Field Magnetic Resonance	IRB #	PI	Study Contact	Study Expiration Li 11/30/2019

The pre-review requests are sorted by two categories: *My Submissions* (studies in which you are the PI or Study Contact) and *Submission for studies listing me as KSP*.

Vanderbilt IRB Dashboard Submis	sions + Studies +					
Click on the study	Home ■ Submissions in Pre-review My submissions Gubmissions for studies lating me as KSP Gubmissions in Pre-review Study Title Umbrelia Test. IRB# 141738 Autonomic: Anglotensin-(1-7)	IRB# 150093	Last Updated 1.7 4/1/2015	Type NEW STUDY	PI Kristin Straznicky	Status REQUESTING PRE-REVIEW CHANGES
the pre-review	4 1		All of your s on this pag	ubmissions e. This view type,	that are curr w can be sort and Principal	ently in pre-review will appear ed by study title, IRB#, date, Investigator.

Select the study title for the submission you wish to respond to. The pre-review tab in the study submission will list general issues and comments. A summary of changes requested to the IRB application will also appear.

	Test Expedited new study: Submission Type NEW STUDY Status REQUESTING I D STP4Z7MK1033	PRE-REVIEW CHANGES		This itom	
	Please address the followi	ng items:		and chang	ges requested to attached documents.
	General issues and comments Last A pre-review has been conducted on this free to disagree with any suggested chan committee/subcommittee. Please submit an Assent Form for chidren	update: 3/30/2015 2:57pm submission. Please respond to th ge. If you do not wish to make a 1 7-12.	e requested changes. Pleas suggested revision, please	se keep in mind these are consider providing a ratio	e pre-review recommendations and you are nale for review by the
This item gives a summary of changes requested to the IRB electronic application.	Issues and comments on your appl Subject Population(s) • If individuals under the age of 18 w Recruitment • Please confirm if flyers will be used	ication /ill be enrolled on this study, plea I for recruitment.	se select Children/minors.		
	Pre-Review History				
	Status	Changed By	Changed On	Emailed On	Comments
	Re-submit to Analyst	Sudznicky, Krisun Leigh	<i>3r30r2</i> 0 r5 2.57µM	ərəurzu to 2.0 r pm	A pre-review has been conducted

Select the application tab to respond to pre-review suggestions. All sections of the application with suggested changes will be highlighted. Select each section to view the specific items with change requests.



The pre-review comment will appear below the item. To revise an answer to align with the request, simply select the appropriate response or revise the text in the dialogue box as necessary. As all pre-review comments are suggestions, please add a comment providing a rationale for any requested changes not made.

Please identify ALL applicable recruitment methods: * mas provide value Changes made to the application will be recorded in the change history.	 NA ✓ Pijers Internet Uetr Oppartmental Research Boards, Mass. E-mal Solicitation Nerospaper Poletes ResearchMatch (NB 090207) Raido Telephone Telephone Social Media Other I Change History (1) © Comments (1) Pelase. confirm <i>E flages</i> will be used for recruitment. Event Social Media Other 	Add a comment for any changes requested but not made.	Requested changes will be included in the comments box for the specific item.
---	---	---	--

If revisions are necessary to study documents, attach any documents with pre-review requested changes in the document uploads tab. Please ensure that all changes are tracked. Documents can be uploaded by dragging and dropping the document into the corresponding box, or using the standard upload method to find the document on your computer.

Main	KSP	Funding Perform	ance Sites Application	Doc	ument Uploads	Pre-review	Reviews	
	Atta	ch Documents					Drag an	d Drop Standard
	Drag file	e into the region for the appro	oriate document type. Maximum	accep	ted file size is 512N	ИB.		
	추 Pr	otocol	IRB Application		Continuing Re Application	eview	Conse Docume	ent-Assent nt
	🗢 Gr	ant	Investigator's Brochure		Advertisemer	nt	ᄎ Recru	litment
	🗢 St	udy Measures	Study Materials		nther			
	Global	Documents						
		File Name		Cre	ated On	Created By		File Size
	Protoc	:ol						
		PRO.pdf		8/15	5/2018	ALEXAT3		5 MB
	Conse	nt-Assent Document						
		Part2_LocalConsent_v2.docs	c	8/15	5/2018	ALEXAT3		29 KB
		Part1_MasterConsent_v2.do	cx	8/15	5/2018	ALEXAT3		29 KB
	Invest	igator's Brochure						
		IB.pdf		8/15	5/2018	ALEXAT3		109 KB
	Study	Materials						
		Flyer.docx		8/15	5/2018	ALEXAT3		12 KB
	Delete s	elected files	all 🔻	· ·	Attach any requested changes to	documer changes. o all docu	nts with Track ments.	1

Once all pre-review suggestions have been responded to, return to the pre-review tab. Review the requested changes and ensure that all necessary information has been included. Select the green button at the bottom of the tab to submit the pre-review response.



A dialogue box will appear giving you an opportunity to include comments to the analyst. Including comments is optional. To complete the pre-review response, select the blue button to re-submit to the analyst.

Please submit an Assent Form for chin	aren 7-12.	
Issues and comments on your a	Pre-Review Comments	After checking that
Subject Population(s)	Enter Comments Below	all
If individuals under the age of	Comments are optional	changes/comments
Recruitment	1	have been
Please confirm if flyers will be u	•	addressed, insert
		any comments you
		would like to
Pre-Review History		include and then re-
Status	Cancel Re-submit to Analyst	submit to the
REQUESTING PRE-REVIEW CHANGES	4	Analyst
Re-submit to Analyst		

The pre-review response is now submitted. The submission will be processed and moved forward for review by the IRB.

Submitting an Amendment to an Approved Study

All amendments will be submitted using the same submission process.

Note: Changes to key study personnel no longer require the submission of an amendment.

To initiate an amendment submission, select the study that requires an amendment.

Draft submissions	Submissions requiring my signature	Pre	-review requests	Submissions requiring a CAL response	Site visit requests
My studies Studies listing me	as KSP				
Study Title		IRB #	PI	Study Contact	Study Expiration
VICC NEU1424: Quantitative H	ligh-Field Magnetic Resonance				11/30/2019
- New Study, VI	JMC, IRB of Record, Standard,				12/12/2019

From the study page, click Create Submission.



This will open the study page. Using the *Create Submission* tab on the study page, select to create a new amendment submission.

RB #	Test: TIN CIF	RB (VUMO	C): Cor	nparison of	Oral anticoagulants
Info KSP	Approved Documents	Submissions	Funding	Performance Sites	Create Submission
	Cr	eate a new sub	mission for	this study:	endment 💥
				Adve	rse Event
				Continu	uing Review
				Site	Addition

Begin by completing the *Main page* of the amendment. Save your changes at the bottom of the page before moving forward. Changes that are not saved before moving to a new tab may be lost.

Nain	Amendment	Funding	Application	Document Uploads	Submit	Reviews		
			1 descript	ion				
			2 mendment N	lumber				<i>b</i>
		3	Amendment E 2015 • To select a new Edit KSP for th Change Princ	Apr	tudy Coordin	tor, or Faculty Advisor, the person must already be listed as a KSP on t	his study.	Before changing the PI, study coordinator, or faculty advisor, use the link to add the individual as key study personnel.
			- Select from	m current key study perso	nnel			•
			Change Study	Coordinator from to				
			- Select from	m current key study perso	nnel			٣
			Change Facul	Ity Advisor				
			- Select from	m current key study perso	nnel			•
			Save					🗯 Delete

Note: This tab is also used to change the Principal Investigator, Study Coordinator, or Faculty Advisor. This change must be made as an amendment after the individuals are added as Key Study Personnel.

Completing the Amendment Tab

Check the boxes for each document being revised or change being made as a part of this amendment. Additional questions will appear based on these selections. Please answer each item and save to continue the submission.



Making Changes to the IRB Application

In the IRB application wizard, review each tab and make changes as necessary. The changes made will be tracked under each item.

Since the application builds based on the information input, some new questions may appear as you make revisions. Include answers to each new question that appears and save your changes before moving on to the next section of the application.



Attaching New or Revised Documents

Please track changes to all currently approved study documents that are affected by the amendment. This will aid the review process. In the *Document Uploads* tab, upload any new or revised study documents by dragging and dropping each document into the corresponding category. The *Other* category is available for any documents that do not fit a specific category. Alternatively, you can change the upload method to a standard selection method in the right corner of this tab.

Amendment (3/31/2015) - DRAFT Submission ID: STRAZNKL033120151330	128					
Main Amendment Funding A	Application Document Uploads Sub	mit Reviews				
	Attach Study Files			Drag a	and Drop Standard	N
	Protocol	RB Application		Continuing Review Applica	tion	\backslash
Drag and Drop revised documents into	Consent-Assent Document	🕈 Grant		Investigators Brochure		The upload
file type	Advertisement	Recruitment		Study Measures		changed to the standard format.
	Study Materials	A Other				
	Submission Documents					
	File Name	Туре	Created On	Created By	File Size	
	Revised ICD.docx	Consent-Assent Document	3/31/2015	STRAZNKL	11 KB	
	Delete selected files	Select continue after	er all affected docu	iments have been]	
	← Back → Continue		attaoneu.		J	

The Submit tab will notify you if any items are incomplete. This is a good time to review each section of the submission and ensure that all necessary changes have been made. When you are ready to move forward, select the submit button.

Amendment (4/15/2015) - DRAFT		
Submission ID: STRAZNKL04152015143	3003	
Main Amendment Funding	Application Document Uploads Submit Reviews	
	Your submission is ready to be submitted. Click the submit button to start the signature process. Once all required parties have signed off on the submission, it will be sent to the IRB for review.	
	Debug Info	

The amendment submission is now ready for the Principal Investigator's signature. If you are the Principal Investigator, a page will appear listing the responsibilities of the PI. Please review these responsibilities. At the bottom of the page, sign the document by inputting your Vanderbilt password.

If the submission is being made by key study personnel, the amendment will route for the PI's signature. The PI will receive an email notifying him or her that a submission is pending signature. The link in this email can be used to access the submission and signatory page. This submission can also be accessed for signature under the *Submissions Awaiting my Signature* item on the dashboard.

Principal Investigator's Assurance Statement I certify that the information provided in this application is complete and accurate. I understand that as Principal Investigator, I have ultimate responsibility for the conduct of the study, the ethical performance of the project, the protection of the rights and welfare of human participants, and strict adherence to the study protocol and any stipulations imposed by the Vanderbilt University Institutional Review Board. I understand that, should I use the project described in this application as a basis for a proposal for funding (ether intramual), it is my responsibility to ensure that the human participants' involvement as described in the funding proposal(s), is consistent in principle, to that contained in this application. I will submit modifications and/or changes to the IRB as necessary, in the form of an amendment, to ensure these are consistent. L avece to comple with all VI prolices and procedures as wall as with all applicative feeders.
I certify that the information provided in this application is complete and accurate. I understand that as Principal Investigator, I have ultimate responsibility for the conduct of the study, the ethical performance of the project, the protection of the rights and welfare of human participants, and strict adherence to the study protocol and any stipulations imposed by the Vanderbilt University Institutional Review Board. I understand that, should I use the project described in this application as a basis for a proposal for funding (either intramural) retermural). It is my responsibility to ensure that the human participants' involvement as described in the funding proposal(s), is consistent in principle, to that contained in this application. I will submit modifications and/or changes to the IRB as necessary, in the form of an amendment, to ensure these are consistent. L acres to comply with all VI origina and procedures as wall as with all andicable forteral states renarding the protection of human participants in the state of the VIII or the IRB as necessary.
I understand that as Principal Investigator, I have ultimate responsibility for the conduct of the study, the ethical performance of the project, the protection of the rights and welfare of human participants, and strict adhrence to the study protocol and any stipulations imposed by the Vandebill University institutional Review Board. I understand that, should I use the project described in this application as a basis for a proposal for funding (either intranual or estramunal), is in yresponsibility to ensure that the human participants' involvement as described in the funding proposal(s), is consistent in principle, to that contained in this application. I will submit modifications and/or changes to the IRB as necessary, in the form of an amendment, to ensure these are consistent. Largere to prove with a 10 noticies and procedures as well as with all and/eichte forend state, and local laws pranting the protection of human participants' in
I understand that, should I use the project described in this application as a basis for a proposal for funding (either intramural or extramural), it is my responsibility to ensure that the human participant's involvement as described in the funding proposal(s), is consistent in principle, to that contained in this application. I will submit modifications and/or changes to the IRB as necessary, in the form of an amendment, to ensure these are consistent.
Larree to comply with all VI indicises and procedures, as well as with all applicable federal, state, and local laws regarding the protection of human participants in
research, including, but not limited to: research, including, but not limited to:
 Ensuring all Investigators and key study personnel have completed the VU human subjects training program: Ensuring the project is conducted by qualified personnel flowing the approved IR8 application and study protocol; Implementing no changes in the approved IR8 approved IR8 application and study protocol; Obtaining the legally effective informed consent from human participants or their legally responsible prepresentative, using only the currently approved date-stamped informed consent document without prior IR8 approval in accordance with VU IR8 problem (except in an emergency, if necessary to safeguard the well-being of a human participants or their legally responsible prepresentative, using only the currently approved date-stamped informed consent documents, and providing a cory to the participant. If applicable. Promptly report to the IR8, Data Safety and Monitoring Boards, seponsors and appropriate federal agencies any adverse experiences and all unanticipated problems involving risks to human subjects or others that occur in the course of the research in accordance with Vanderbill University IR8 Policies and Procedures. If unavailable to conduct this research personally, as when on subbalcial leave or vacation. I will arrange for another investigator to assume direct responsibility for the study. Either this person is investigator in this applicable. Promptly providing the IR8 with any information requested relative to the project; Obtaining Continuing Review approval prior to the date the approval for the study earlies. In understand if I fail to apply for continuing review, approval for the study will automatically eapine, and all study activity must cease until IR8 approval is granted. Maintain accurate and complete research records, including, but not limited to all informed consent documents for 3 years from the date of study completion, and all study activity must cease unil IR8 approval is obtained, and II study appro
Sign Here
Please enter your e-password in order to electronically sign this submission. The system will process this submission upon signing.
Password Sign

The amendment submission will now be routed for signature to the Faculty Advisor, *if applicable* for your study. Each of these individuals will have an alert on his or her dashboard to notify that a submission is pending signature, in addition to receiving an email notification. This item can be used to access the submission, review the amendment, and sign at the bottom of the page.

New Tools for Assessi	ng Fracture Risk
Submission Type Status PI Name PI VUNetID Review Type Study Type	NEW STUDY PENIING FAC ADVISOR SIGNATURE TURNERC030312015150019 Chaeley Turner TURNERC9 Slandard Health Science
Faculty Advisor's A	ssurance Statement
By my signature as spo research with human pa	sor on this research application, I certify that the student or guest investigator is knowledgeable about the regulations and policies governing rticipants and has sufficient training and experience to conduct this particular study in accordance with the approved protocol. In addition,
I agree to meet v Should problems I vill ensume that I vill ensume that Vill ensume that Vill ensume that vill ensume that approval in accor vibhin 5 days of I vill ensume that the currently app participant. I vill ensume that (vithether anticipan I vill ensume that vidl ensume that	It has tasken investigator on a regular basis to monitor study progress: and example constant of the study. Tages to be available, personally, to supervise the student investigator in solving them; all investigators and key study personnel have completed the VJ human subjects training program; the student investigator of the study. Tages to be available, personally, to supervise the student investigator in solving them; the student investigator only obtained personnal according to the approved IRB application or informed consent document without prior IRB faces with VLIRB policy (except in an emergency, if necessary to safeguard the well-being of human participants, and will report to the IRB duc shange). In student investigator only obtains legally effective informed consent from human participants or their legally responsible representative, only over date staneped informed consent documents for human participants are used, and a copy of the informed consent is provided to the the study investigator promptily reports any unanticipated problems involving risks to participants or others, or any serious adverse events do rond to the IRB in accordance with VLA and/ball/bull/weiselity IRB policies and Procedures; responsibility for the accurate documentation, investigation and follow-up of all possible study-related adverse events and unanticipated risks to participants. Bab to supervise this research personally, as when on sabbatical leave or vacation, I will arrange for an alternate Faculty Advisor to assume bit my adsence and will advise the IRB by left teri in advance of auch arrangements. In the student investigator prompty provides the IRB with any information requested relationed or withdaw approval for the project; and the student investigator bothmos nonling review approval prior the date approval for the project; and the student investigator bothmos nonling review approval prior the date approval for the study explices, related at the or fails to apply for continuing review, approval fo
Please enter your e-pas	sword in order to electronically sign this submission. The system will process this submission upon signing.
Password	- Syr

Retracting the Amendment Prior to Submission

If any signatories (PI, Faculty Advisor, Department Chair, or Division Chief) would like to make changes before the submission is sent to the IRB for review, the amendment can be placed in *draft* by retracting it on the main page of the amendment. After any changes are made, the amendment can be submitted by signing at the bottom of the signatory page.

SP Funding Applicat	ion Document Uploads Signature Reviews
	Study Details
	ID TURNERC903312015112747 Study Tild Investigating immune responses in patients with advanced melanoma treated with immune-based therapy Study PCONING OPT CHAIR SIGNATURE Principal Investigating Vision Chief Study Coordinator Vision Chief Study Advisor Vision Study Advisor CYRC Study Advisor CYRC
	C Signature History
	Principal Investigator Signed by Turner, Chasiety on 3/31/2015 at 1-43pm Faculty Advisor Signed by Cyr, Clara on 3/31/2015 at 4.06pm Department Chai* not yet signed

The Amendment is now submitted. You can track the progress of the amendment by accessing your submissions on your main Wizard page. You will receive a notification if a pre-review is necessary. Please see the *Respond to a Pre-Review* section of the manual for assistance in making your response.

Amendment to Add Non-Single IRB Performance Sites

This will function the same way the amendment usually does. On the Amendment Form click *Other*, and in the box to provide the rationale for the amendment, include the Site Name, if the site is engaged or not-engaged in research, and if the approval letter is attached with the amendment or if it is pending.

Main Amendment Funding App	cation Document Uploads Submit Reviews
	Amendment Information
	Please indicate what is being changed with this amendment: Study Title/Grant Tile • mult porter vale Increase in total subject numbers/accual • mult porter vale Data and Safety Monitoring Report Increase in total subject numbers/accual Increase in total subject numbers/accual Increase in total subject numbers/accual Increase in total subject numbers/accual Increase in total subject numbers/accual Increase in total subject numbers/accual Increase into accument (B) with the honges in safety Consent Form changes Protocol changes Changes to recordiment materials Submission of new documents Other ADD a participating Size to the single IRB review.
	Please provide the rationale for the amendment/changes: * "mult provide value

Managing Performance Sites on a Single IRB study where Vanderbilt is the IRB of Record

Once a new study is approved, the study team can manage performance sites from the study page.

On the Dashboard, Approved Studies can be found in the list at the bottom of the screen. Approved studies for which you are the PI, Study Coordinator, or Faculty Advisor are found on the My Studies tab. This is the default view for the dashboard. Studies for which you are listed as KSP are found on the Studies listing me as KSP tab.

Draft submissions	Submissions requiring my signature	Pr	e-review requests	4 Submissions requiring a CAL response	Site visit requests
My studies Studies listing me a	as KSP				Q
Study Title		IRB #	PI	Study Contact	Study Expiration
VICC NEU1424: Quantitative H	igh-Field Magnetic Resonance	140819	Ozier, Julie	Alexander, Tiffany	11/30/2019
Ciara - Analyst - New Study, VU	IMC, IRB of Record, Standard,	180051	Alexander, Tiffany		12/12/2019
sIRB Test: TIN CIRB (VUMC): C	Comparison of Oral anticoagulants.	 180081	Alexander, Tiffany		

If you cannot find the appropriate study, you can use the "search" field by typing the IRB number, sponsor number, part of the title, or another relevant piece of study information. Once you have found the study, click the title to enter the study page.

Draft submissions	Submissions requiring my signature	Submissions not yet approved	Pre	e-review requests	Submissions requiring a CAL response	Site visit requests
My studies Studies listing me a	as KSP					
Approved Studies)					
Study Title			IRB #	PI	Study Contact	Study Expiration
VICC NEU1424: Quantitative H	igh-Field Magnetic Resonance					11/30/2019
- New Study, VL	JMC, IRB of Record, Standard,					12/12/2019
sIRB Test: TIN CIRB (VUMC): C	Comparison 🐝ral anticoagulants	h				

From the study page, click the *Performance Sites* tab.

IRB # PI / Info KSP Appr	New Study, V	UMC, IRB of Record, Standard	d,
	Ciara - Analyst - New Study, VUN Prinicipal Investigator Status Study Coordinator IRB Number Study Expiration Date Billable Accrual Goal 100	C, IRB of Record, Standard, Approved 1 ted 19	0 days until study expires (12/12/2019)
	NCT ID (Clinical Trials.gov registry in NCT1111111111	rumber)	

On this tab, you can see the status of all sites that have been added to the study. You can also begin the process of adding sites and remove sites from this page. Studies that have been submitted with a Site Add submission and are approved will appear in and will have a status of *Approved*.

- New St	udy, VUMC,	, IRB (of Record, Standard,			
KSP Approved Documents Submiss	sions Funding	Performan	ce Sites Create Submission			
rformance Sites						
iderbilt Serving as a Single IRB	Agreement	LOI	Local Context	Reliance Decision	Approval Date	Status
Brown University - FWA00004460	SMART IRB	Yes	3/3 surveys complete -	Yes	5/15/2019	Approved
Cardiovascular Research of Northwest Indiana, LLC	SMART IRB	Yes	3/3 surveys complete -	Yes	5/15/2019	Approved
Rady Children's Hospital - San Diego - FWA000000;	SMART IRB	Yes	3/3 surveys complete -	Yes	5/15/2019	Approved
University of Utah - FWA00003745	SMART IRB	Yes	3/3 surveys complete -	Yes	-	Pending Revie
Access Health Louisiana - FWA00022869	SMART IRB	Yes	3/3 surveys complete -	Yes		Pending Revie
aylor College of Medicine - FWA00000286	SMART IRB	Yes	3/3 surveys complete -	Yes		Pending Revie

Approved sites will also have an approval date in the *Approval Date* column.

- New Si	tudy, VUM	C, IRB	of Record, Standard	,		
KSP Approved Documents Submiss	sions Funding	Performa	Ince Sites Ocreate Submission			
rformance Sites						
	Agreement	LOI	Local Context	Reliance Decision	Approval Date	Status
rown University - FWA00004460	SMART IRB	Yes	3/3 surveys complete -	Yes	5/15/2019	Approved
Cardiovascular Research of Northwest Indiana, LLC	SMART IRB	Yes	3/3 surveys complete -	Yes	5/15/2019	Approved
Rady Children's Hospital - San Diego - FWA000000.	SMART IRB	Yes	3/3 surveys complete +	Yes	5/15/2019	Approved
Iniversity of Utah - FWA00003745	SMART IRB	Yes	3/3 surveys complete +	Yes	-	Pending Revi
ccess Health Louisiana - FWA00022869	SMART IRB	Yes	3/3 surveys complete -	Yes		Pending Rev

Sites that have been added to the table, and local surveys and questionnaires have not been completed in IREX, these sites can be removed by the study team by clicking the *Remove* button.

with a state of a second second				and the state of the state		
hildren's Hospital of Wisconsin - FWA00001809		No	1/3 surveys complete -	No		× Remove
arnegie Mellon University - FWA00004206	SMART IRB	Yes	3/3 surveys complete -	Yes		× Rem
GH Institute of Health Professions - FWA0000572	SMART IRB	Yes	3/3 surveys complete -	Yes		Ready
rossroads Eye Physicians - FWA00025426	SWARTING	165	3/3 surveys complete -	103	-	Reduy
	SMADT IDB	Voc		Voe		Dende
ignity Health - FWA00001499	SMART IRB	Yes	3/3 surveys complete -	Yes	-	Ready
aylor College of Medicine - FWA00000286	SMART IRB	Yes	3/3 surveys complete -	Yes	-	Pending Review

If there is an oustanding document preventing the site from being ready to be added, the *Local Context* column will indicate how many out of the 3 required surveys have been completed. Clicking on the arrow will display a drop down menu which will indicate which surveys have been completed and which have not. The surveys with a check mark next to them have been completed, and those with a blank box have not yet been completed.

Billings Clinic SMART	No	2/3 surveys complete →	No - KRemove
+ Add a Site		PI Survey	
Requested Sites		HRP Survey	

To add a new site to this table, click Add a Site.

SMART IRB	Yes	3/3 surveys complete -	Yes	-	Ready
SMART IRB	Yes	3/3 surveys complete -	Yes	-	Ready
SMART IRB	Yes	3/3 surveys complete -	Yes		× Remove
	No	1/3 surveys complete -	No		* Remove
	No	1/3 surveys complete -	No	-	X Remove
-	No	1/3 surveys complete -	No	-	* Remove
	SMART IRB SMART IRB SMART IRB	SMART IRB Yes SMART IRB Yes SMART IRB Yes No No No	SMART IRB Yes 3/3 surveys complete • SMART IRB Yes 3/3 surveys complete • SMART IRB Yes 3/3 surveys complete • No 1/3 surveys complete •	SMART IRB Yes 3/3 surveys complete - Yes No 1/3 surveys complete - No No 1/3 surveys complete - No No 1/3 surveys complete - No	SMART IRB Yes 3/3 surveys complete • Yes No 1/3 surveys complete • No No 1/3 surveys complete • No No 1/3 surveys complete • No

You will be prompted to include the site name. If the site does not appear in the drop down list, add the site in the Requested Sites box. After entering the site name in either the Vanderbilt serving as the Single IRB table, or the Requested Sites box, click Save Sites. This will then appear for the IRB Analysts to begin gathering information for that site.

MGH Institute of Health Professions - FWA00005728	SMART IRB	Yes	3/3 surveys complete +	Yes	-	Ready
Carnegie Mellon University - FWA00004206	SMART IRB	Yes	3/3 surveys complete -	Yes	π.	X Remove
hildren's Hospital of Wisconsin - FWA00001809		No	1/3 surveys complete -	No		X Remove
righam and Women's Hospital - FWA00000484	1491	No	1/3 surveys complete -	No	<u></u>	× Remove
paulding Rehabilitation Hospital - FWA00000465		No	1/3 surveys complete +	No	-	X Remove
ohns Hopkins University School of Medicine - FWA			0/3 surveys complete +	-		× Remove
4dd a Sile		Start t nam instit organiz	yping the e of the tution or ation here.			

Requested Sites			
Please list performance sites (including prim	ary contact and phone num	ber) not available above.	
avo Sito			

Once local surveys and questionnaires have been completed in IREX, it will appear with a status of *Ready*.

Baylor College of Medicine - FWA00000286	SMART IRB	Yes	3/3 surveys complete -	Yes	-	Pending Review
Dignity Health - FWA00001499	SMART IRB	Yes	3/3 surveys complete -	Yes	-	Ready
Crossroads Eye Physicians - FWA00025426	SMART IRB	Yes	3/3 surveys complete -	Yes	- /	Ready
MGH Institute of Health Professions - FWA00005721	SMART IRB	Yes	3/3 surveys complete -	Yes	-	Ready
Carnegie Mellon University - FWA00004206	SMART IRB	Yes	3/3 surveys complete -	Yes	-	× Remove
Children's Hospital of Wisconsin - FWA00001809	-	No	1/3 surveys complete -	No	Jan Japane	X Remove

Submitting a Site Addition for Single IRB Studies

Once you have sites ready to be added as indicated on the Performance Sites table, click *Create Submission*.

IRB #18 PI Alexa	sIRB 80081 ander, Tif	Test:	TIN CIR	B (VU	MC): Con	nparison of	Oral anticoagu	ulants		
Info	KSP	Approve	ed Documents	Submissio	ns Funding	Performance Sites	Create Submission			5
			siRB Test:	TIN CIRB (VU	JMC): Comparison	of Oral anticoagulants	for extended VEnous Thron	nboembolism (COVET)		
			Prinicipal In Study C IR Study Expir Ac	nvestigator Status Committee oordinator RB Number ration Date Billable ccrual Goal	Alexander, Tiffany Approved HS2 None Listed 180081 No 50					
			NCT ID (Clinic	cal Trials.gov	registry number)					}
proved in	~		Save	~~~		a planama	and a second a second	-	Sec. 200	

Select Site Addition.

IRB #	-	- Analysi- Im	ee shady	10.07%	-	
Info	KSP	Approved Documents	Submissions	Performance Sites	Create Submission	
	Crea	te a new submission fo	or this study:	andmant		
			All	lenament		
			Non-compli	ance with Protocol		
			Adv	erse Event		
			Contir	nuing Review		
			Site	e Addition 🛛 💥		

You will be prompted to select the sites to be added with this submission. Only the sites that have a status of Ready will appear in this section. Select the site(s) to be added by selecting the box next to the site name. Click save and continue when the sites have been selected to move forward to the Document Uploads tab.

Main	Document Uploads Submit Reviews	
	Sites Selected for Review	
	Select the sites to be added. ■ Detroit Medical Center Save → Save and Continue	
	Delete	

The system will create a section for documents to be uploaded for each site. In the Document Uploads tab you can 1) expand or collapse each site section individually by clicking on the bar with the site name in it, 2) expand all sections by clicking Expand All, and 3) collapse all sections by clicking Collapse all.

Main	Document Uploads Submit Reviews	
	Attach Documents	Drag and Drop Standard
	Select All in All Sites	3 Collapse All Expand All 2
	Detroit Medical Center	~
	Delete selected files Pownload all	
	← Back → Continue	

Once a site is expanded, it will have its own drag and drop section to attach documents.

Detroit Medical Center		^
Drag file into the region for the ap	propriate document type. Maximum acc	cepted file size is 512MB.
Protocol	IRB Application	Continuing Review
Consent-Assent Document	🕈 Grant	Investigator's Brochure
Advertisement	Recruitment	Study Measures
Study Materials	☆ Other	
	No files are available	

To use the standard document uploads feature, click Standard.

Attach Documents	Drag and Drop Standard
Maximum accepted file size is 512MB. Attachment Choose File No file chosen	
Type of study document [Select Document Type]	T
Site	
Detroit Medical Center Upload	¥

Each site will appear in the Site drop down menu. Select the appropriate site for the document you are uploading.

Attach Documents	Drag and Drop Standard
Maximum accepted file size is 512MB. Attachment Choose File No file chosen	
Type of study document	•
Site	
Detroit Medical Center	T

Once all documents are attached, click Continue to move forward to the Submit tab.

Main Document Uploads Submit Reviews	
Attach Documents	Drag and Drop Standard
Select All in All Sites	Collapse All Expand All
Detroit Medical Center	~
Delete selected files Download all -	
← Back → Continue	

After clicking submit, the Site Addition submission will be ready for signature and routing to the IRB.



Submitting a Continuing Review

Submit a *Continuing Review* for continuing studies or to close a study with the IRB. To start a continuing review submission, access your approved studies and select the appropriate study. Note that any studies with approval expiring in the next 8 weeks will be highlighted red.

Draft submissions	Submissions requiring my signature	Pr	e-review requests	Submissions requiring a CAL response	Site visit requests
My studies Studies listing me	as KSP				
Approved Studies	•				
Study Title		IRB #	PI	Study Contact	Study Expiration
VICC NEU1424: Quantitative H	ligh-Field Magnetic Resonance				11/30/2019
- New Study VI	JMC, IRB of Record, Standard,				12/12/2019
- non onay, n					

From the study page, click Create Submission.

IRB #17 Pl	This a	a Phase 2 stu	dy of the second se	
Info	KSP	Approved Documents	Submissions Performance Sites O Create Sub	mission
			h This a Phase 2 study of blah-di-blah	
			Prinicipal Investigator Status Approved Committe HS2 Study Coordinator IRB Number 170270 Study Expiration Date 4/24/2018 Billable Unknown	0 days until study expires (4/24/2018)
			NCT ID (Clinical Trials.gov registry number) Billing Contact/Grants Manager	
			Save	

On the *Create Submission* tab, select to create a Continuing Review.

This a Phase 2 study of	
IRB	
Plana	
Info KSP Approved Documents Submissions Performance Sites	Create Submission
Creat	e a new submission for this study:
	,
	Amendment
	Non-compliance with Protocol
	Adverse Event
	Continuing Review
	Site Addition
	Site Addition

Select the *Continuing Review* tab to complete the Continuing Review wizard application. In the first item, select the study type. The corresponding continuing review application will begin to build based on this item.

Continuing Review Information Please indicate the status of the research as it is current	ly.		
Status of the Research			
Please indicate the type of continuing revie	w: ○ Standard or Expedited Study ○ Data or Specimen Repostory ○ Grant or Umbretia Review	Select the study type. The continuing review Wizard application will build questio based on your response	e ons _{set}
Conflict of Interest Disclosure			
Is there a potential conflict of interest for the Principal Investigator or key personnel? arrangement has been entered into where of the covnership interests will be affected outcome of the research and no arrangement been entered into where the amount of compensation will be affected by the outcor - Assessment should include anyone lister Principal Investigator, or other research per on page 1 of this application. Please note to ownership described below apply to the ag ownership of an individual Investigator, hi obligator, his ownership of an individual Investigator, his ownership of an individual Investigator, his ownership of an individual Investigator, his ownership of an individual Investigator, his obligator, his ownership of an individual Investigator, his ownership of an individual Investigator, his	he Yes No by the roth has one of as nonnel hat here		reat

The Continuing Review application for Standard/Expedited studies and repositories will ask for the current status of the research. Additional questions will appear based on this information. Provide an answer to each question and save your answers. Incomplete submissions can be saved and returned to later. These submissions can be accessed via the Drafts Submission button on your dashboard. The system will not allow an incomplete submission to be submitted or routed for signature.

Please indicate the status of the research as it is currently.	
Status of the Research	
Please indicate the type of continuing review:	Standard or Expedited Study Data or Specimen Repository Grant or Umbrelia Review reset
Please indicate the status of the study:	No participants have been enrolled to date.
Answers to subsequent questions will build the application so that only the necessary questions for your study type and enrollment status will appear.	 Recruitment and/or enrollment of new participants or review of records/specimes continue. Study is no longer enrolling but participants still receive research-related interventions (e.g., still receiving treatment, obtaining blood draws, etc.). Study is no longer enrolling and participant have completed research-related interventions. The study remains active only for long term follow-up. Study enrollment is permanently closed, participant have completed all research-related interventions, and long term follow-up has been completed. The remaining research activities are limited only to data analysis that may require contact with records or Close the study. Errollment and follow-up are complete and no further contact with participants, records, or specimens is anticipated. Data queries are complete.
 must provide value Please provide ALL information requested below. NOTE: These numbers should reflect participants beyond acreening. 	enrolled by the VU Principal Investigator and/or additional personnel involved in the study. "Number enrolled" means enrolled
Number enrolled within the last IRB approval period: * must provide value	
Number enrolled since the beginning of the study * must provide value	y:
Has the adverse event profile experienced by participants differed from that expected since the most recent IRB continuing review? * must provide value	Yes No Adverse event is defined here as any untoward or undesired outcome of the research, including both serious and non-serious events, or pactical and universected events, and events related and unrelated for the research.

Attach any necessary documents (i.e. Consent forms, Progress reports) for the continuing review in the *Document Uploads* tab. Submit both a stamped and clean copy of all consent documents for review and approval.

Continuing Review (4/3/201 Submission ID: STRAZNKL040	5) - DRAFT 32015105547								
Main Continuing Review	Funding Appl	cation	Document Uploads	Submit Rev	iews				
	Atta	ch Stu	udy Files					Drag and Drop Standard	
Drag and Drop	☆ P	otocol			IRB Application		Continuing Revi	view Application	
(ex. ICD,	Consent-Assent Document			♦ Grant		Investigators Br	rochure		
into the corresponding	Advertisement	ent		Recruitment		Study Measures	s		
buckets.	† S	udy Mate	erials		Other				
	Subm There are	issior no docur	DOCUMENTS						
	🗲 Back	→ 0	Continue						

The *Reviews* tab allow you to review the currently approved study information. This view will show currently approved documents as well as the Review Outcome and also has a letter download component for easy access to letters from the IRB. To make changes to this information, please see the Amendment submission section of this manual.

Updated On	Meeting Date	Committee	Review Type	Review Outcome	Letter	
1/22/2019		HS3	Analyst Review	Approve	Download FAL	
12/13/2018		HS3	Full Committee	Approve	Download FAL	
Approved Do	ocuments					
Global Documer	ocuments					
Approved Do Global Documer	ocuments ^{hts}		Ву	On		
Approved Do Global Documer File Name Continuing Review	Application		Ву	On		
Approved Do Global Documer File Name Continuing Review CR.pdf	Application		By HTMLDB_PUBLIC_USER	On 12/	13/2018 14:25:53	

Review all tabs for completeness and submit the continuing review in the *Submit* tab. The submission will now route for signature before being sent to the IRB for review.



The Continuing review has now been routed for signature. The IRB will receive the submission after the Principal Investigator signs the submission. Submissions that are awaiting signature *Submissions in route* can be located in the submissions drop down menu at the top the screen.

Submitting an Adverse Event

On the Dashboard, Approved Studies can be found in the list at the bottom of the screen. Approved studies for which you are the PI, Study Coordinator, or Faculty Advisor are found on the My Studies tab. This is the default view for the dashboard. Studies for which you are listed as KSP are found on the Studies listing me as KSP tab.

Draft submissions	Submissions requiring my signature		Pre	-review requests	Submissions requiring a CAL response	Site visit requests
My studies Studies listing m	e as KSP					
Approved Studies	0					
Study Title			IRB #	PI	Study Contact	Study Expiration
VICC NEU1424: Quantitative	High-Field Magnetic Resonance					11/30/2019
- New Study, 1	/UMC, IRB of Record, Standard,					12/12/2019
	Comparison of Oral anticoagulants	3		and the second second		

If you see the study that the adverse event is related to, click the study title to go to the study page. If you do not see the appropriate study, you can use the "search" field by typing the IRB number, sponsor number, part of the title, or another relevant piece of study information.

Draft submissions	Submissions requiring my signature	Submissions not yet approved	Pre	-review requests	Submissions requiring a CAL response	Site visit requests
My studies Studies listing me	as KSP					
Approved Studies	•					
Study Title			IRB #	PI	Study Contact	Study Expiration
VICC NEU1424: Quantitative H	igh-Field Magnetic Resonance					11/30/2019
- New Study, VU	JMC, IRB of Record, Standard,					12/12/2019
sIRB Test: TIN CIRB (VUMC):	Comparison 💥 ral anticoagulant	5				
Linklichted studies ave sure	ring in the payt 8 weaks					

From the study page, click *Create Submission*.

IRB #	3 Test: TIN CIF	RB (VUMO	C): Con	nparison of	Oral anticoagulants	Ì
Info KSP	Approved Documents	Submissions	Funding	Performance Sites	Create Librission	
	SIRB Test: TIN CIRB (V	'UMC): Comparison	of Oral antic	pagulants for extended	VEnous Thromboembolism (COVET)	Ş
	Prinicipal Investigator Status Committee Study Coordinator IRB Number Study Expiration Date Billable Accrual Goal	Approved HS2 None Listed No 50				
	NCT ID (ClinicalTrials.gov	registry number)				
	Save	Marray .	- month	have the second	and the set of the set	

Click Adverse Event. Clicking this button will create the Adverse Event submission.

KSP	Approved Documents	Submissions	Funding	Performance Sites	Create Submission	
	Cr	eate a new sub	mission for	this study:		
				Ame	endment	
				Non-complia	nce with Protocol	
				Adve	rse Event 💥	
				Continu	ing Review	
				Site	Addition	

Complete the first form and click Save and Continue. This will move you to the Adverse Event tab. Click Save will save your information but will keep you on the Main tab.

ADVER IRB # PL	SIRB Test: RSE EVENT	TIN CIRB (\	/UMC)	: Com	parison of Oral anticoagulants		
Adver	se Event/Unanticipa	ted Problem (1/13/202	21) - DRAFT				
Submis	ssion ID: 3011	32021114606			Step 1: Select "Yes" or "No"		
Main	Adverse Event	Document Uploads	Submit	Reviews			
	Is this a Cancer Center related AE that has been completed in OnCore? O No O Yes						
	Please indicate type of report. Initial Report of Event/Problem O Follow-Up Report						
	Save 🗕	Save and Continue		- Jack of	or Follow-up Report.		

On the Adverse Event tab, you will complete additional Adverse Event questions. Required items are marked with * must provide value. Please complete all of the requested items on the form. The Wizard system will not allow incomplete forms to be submitted.

The following types of events are required to be reported to the IRB. If the event does not fit the categories shown, the event is not reportable to the IRB. However, the event may need to be reported to the sponsor. If you have any questions, please call the IRB (615-322-2918) of check with your sponsor.

Was this an event that requires prompt reporting to the sponsor in accordance with the protocol (e.g., serious adverse events)? * must provide value	● Yes ○ No
Unanticipated? (An event is "unanticipated" when it was unforeseeable at the time of its occurrence) * must provide value	● Yes ○ No
Serious? (An event is "serious" if it adversely alters the risk/benefit relationship of the research) * must provide value	● Yes ○ No
Related? (An event is "related" if it is likely to have been caused by the research procedures)	● Yes ○ No

In this section, please indicate the Participant ID# or initials. *Please <u>do not</u> include a name or any other personal identifiers.*

Participant Identifier:	NOTE: DO NOT include a name or ANY personal identifiers.
Participant Age:	
Identify Drug/Biologic/Device/Treatment/Intervention: * must provide value	

Provide a detailed description of the event/problem including the timing of study treatment, dosing, or intervention. Also remember to include start and stop dates of relevant research interventions.

Indicate the timing of the event/problem in relation to the research intervention. For example, 1) Research drug was started at 10:00; 2) Participant began wheezing at 10:15; 3) Drug stopped at 10:16; 4) Participant continued to have labored breathing and was treated with inhalers. 5) Respirations relaxed; 6) Breathing sounds clear by 11:00 and participant denied further complaints with breathing. 7) The sponsor was notified of the event/problem and the participant was withdrawn from further participation in the study.

List 3-4 keywords describing the event/problem:	(e.g., loss of confidentiality, nausea, vomiting)	
Provide a description of the event/problem including the timing of the study treatment, dosing, or intervention with start and stop dates of relevant research interventions. * must provide value		
This study (choose one): * must provide value	has a Data and Safety Monitoring Committee/Board (DSMC/DSMB) or Data Safety Monitor (DSM). does not have a Data and Safety Monitoring Committee/Board (DSMC/DSMB) or Data Safety Monitor (DSM). unknown.	

Once all required questions are complete, click the Save button at the bottom of the page.

	Other event that is unanticipated-involved risk to participants or others and was possibly related to the research procedure	25.
	NOTE: Events that do not fit into the above categories do not require reporting to the IRB at this time. However, the event may require reporting to the sponsor or data monitoring plan.	
This event/problem is: (Choose one): * must provide value	 Currently described as a risk in the informed consent document and does not require submission of an amendment. Not listed as a risk in the informed consent document and requires submission of an amendment. Not listed as a risk in the informed consent document and submission of an amendment is not recommended at this time. 	reset
This study (choose one): * must provide value	 has a Data and Safety Monitoring Committee/Board (DSMC/DSMB) or Data Safety Monitor (DSM). does not have a Data and Safety Monitoring Committee/Board (DSMC/DSMB) or Data Safety Monitor (DSM). unknown. 	reset
Has te PI been notified of this event/problem and received a copy of this report? "must pov/de value	Yes No To PI should be notified of all noncompliances with the protocol, adverse events, and/or unanticipated problems involving risks to participater or others. The PI is reportible for the accurate the protocol, adverse events and/or unanticipated problems involving risks to participate or others that are possibly related to study partipation.	reset
Has the event been reported to the Sponsor? "must provide value	○ Yes ◎ No	reset
Discourse and a second different assessments		
riease add any additional comments:	Save	~

After saving, if you need to submit additional documents, click the *Document Uploads* tab at the top of the page. If no other documents need to be submitted, click the *Submit* tab.

Home = Study: IRB#150080-Test Standard: 140026 A Phase 1 Study to Evaluate the > Submission: ADVERSE EVENT	
Test Standard: 140026 A Phase 1 Study to Evaluate the	
IRB # 150080	
Pl	
Adverse Event/Unanticipated Problem (4/3/2015) - DRAFT	
Submission ID:	
Main Adverse Event Funding Application Document Uploads Submit Reviews	
Adverse Event/Unanticipated Problem Information	
does not require the signature of the Prindpial Executigator.	
Is there a MedWatch Report to attach? O Yes	
* must provide value O No	mat
Date of Event:	мот
Participant identitier:	
	we parade determine.
Participant Age:	
Line in the second s	
Drug/Biologic/Device/Treatment/Intervention:	
* must provide value	
List 3-4 keywords describing the event/problem:	
(e.g., joss of confidentiality, nous	as, vontiling)
Provide a description of the event/problem including the triang of the structure transmer is design or	
intervention with start and stop dates of relevant research interventions	
* must provide value	
On the Document Uploads tab, documents can be submitted with either the Drag and Drop option, or the Standard option.

Vanderbilt IRB Dashboard Submissions - Studies -				inim-
Home = Study: IRB#150080-Test Standard: 140026 A Phase 1 Study to	Evaluate the > Submission: ADVERSE EVENT			
Test Standard: 140026 A Phase IRB # 150080	e 1 Study to Evaluate the			
Adverse Event/Unanticipated Problem (4/3/2015) - DRAFT				
Submission ID:				
Main Adverse Event Funding Application Document L	Uploads Submit Reviews			
	Attach Study Files		Drag and Drop Standard	
	Protocol	IRB Application	Continuing Review Application	
	Consent-Assent Document	🎝 Grant	nvestigators Brochure	
	Advertisement	Recruitment	A Study Measures	
	A Study Materials	Other		
	Submission Documents There are no documents for this study			
	← Back → Continue			

When all documents have been uploaded, click the *Continue* button at the bottom of the page. This button will bring you to the Submit tab.

Vanderbilt IRB Dashboard Submissions - Studies -				in i	-
Home > Study: IRB#150080-Test Standard: 140026 A Phase 1 Study to	Evaluate the > Submission: ADVERSE EVENT				
Test Standard: 140026 A Phase IRB # 150080	e 1 Study to Evaluate the				
Adverse Event/Unanticipated Problem (4/3/2015) - DRAFT					
Submission ID:					
Main Adverse Event Funding Application Document I	Jploads Submit Reviews				
	Attach Study Files		Drag and Drop Standard		
	Protocol	IRB Application	Continuing Review Application		
	Consent-Assent Document	🕈 Grant	Investigators Brochure		
	Advertisement	A Recruitment	Study Measures		
	🏞 Study Materials	Other			
	Submission Documents				
	Eask Continue				

If the Adverse Event form is not complete, you will see this error message on the Submit tab. Click the Adverse Event tab to complete the missing information, click save, and then click the Submit tab again.

Vanderbilt IRB Dashboard Submissions - Studies -		liniim -
Home > Study: IRB#150080-Test Standard: 140026 A Phase 1 Study to	Evaluate the > Submission: ADVERSE EVENT	
Test Standard: 140026 A Phase IRB # 150080	e 1 Study to Evaluate the	
Adverse Event/Unanticipated Problem (4/3/2015) - DRAFT		
Submission ID:		
Main Adverse Event Funding Application Document U	Ipbads Submt Reviews	
	Your submission is not yet complete Adverse Event Adverse Event Centry Complete Complete Complete Complete Complete Complete Complete Complete Complete Complete Complete Complete Complete Complete Complete Complete Comp	

Once the required information is complete, you will be able to click the *Submit* button on the Submit tab.



After a signature from the PI, the submission is automatically routed through the Wizard and ultimately ends its journey at the IRB where it will be sent to a team to start the review process.

Submitting a Non-Compliance with the Protocol

On the Dashboard, Approved Studies can be found in the list at the bottom of the screen. Approved studies for which you are the PI, Study Coordinator, or Faculty Advisor are found on the My Studies tab. This is the default view for the dashboard. Studies for which you are listed as KSP are found on the Studies listing me as KSP tab.

Draft submissions	Submissions requiring my signature	Pr	e-review requests	Submissions requiring a CAL response	Site visit requests
My studies Studies listing me	as KSP				0
Study Title	•	IRB #	PI	Study Contact	Study Expiration
VICC NEU1424: Quantitative H	ligh-Field Magnetic Resonance	140819	Ozier, Julie	Alexander, Tiffany	11/30/2019
Ciara - Analyst - New Study, VU	JMC, IRB of Record, Standard,	180051	Alexander, Tiffany		12/12/2019
sIRB Test: TIN CIRB (VUMC): (Comparison of Oral anticoagulants	 180081	Alexander, Tiffany		
IRB lest: TIN CIRB (VUMC): (Comparison of Oral anticoagulants	 180081	Alexander, Tillany		

If you see the study that the non-compliance with the protocol is related to, click the study title to go to the study page. If you cannot find the appropriate study, you can use the *search* field by typing the IRB number, sponsor number, part of the title, or another relevant piece of study information.

Draft submissions	Submissions requiring my signature		Pre-revie	w requests	Submissions requiring a CAL response	Site visit requests
My studies Studies listing me	as KSP					
Approved Studies	9					
Study Title		11	RB# PI		Study Contact	Study Expiration
VICC NEU1424: Quantitative H	High-Field Magnetic Resonance					11/30/2019
New Study M	UMC, IRB of Record, Standard,					12/12/2019
- New Study, V						

From the study page, click *Create Submission*.

P Approved Documents	Submissions Fun	ding Performance Sites	Creater Schmission
Approved Documents		ang renormance cites	
SIRB Test: TIN CIRB (V	'UMC): Comparison of Ora	l anticoagulants for extended	d VEnous Thromboembolism (COVET)
Prinicipal Investigator			
Status	Approved		
Study Coordinator	None Listed		
IRB Number			
Study Expiration Date			
Billable Accrual Goal	No 50		
Accidar Goar	50		
NCT ID (Clinical Trials do)	v registry number)		
(ennourmaisige	Togloa y namboly		

Click *Non-compliance with Protocol*. This will create the non-compliance with the protocol submission.

# 					
KSP Approved Documents	Submissions	Funding	Performance Sites	Create Submission	
с	reate a new subi	mission for	this study:		
			Ame	endment	
			Non-complia	nce with Protoc	
			Adve	rse Event	
			Continu	ing Review	

Enter the description of the Non-compliance and the date of the event or problem. Click Save.

Vanderbilt IRB Dashboard	Submissions - Studies -
Home » Draft Submissions » S	ubmission: "Test Standard: 140026 A Phase 1 Study to Evaluate the"
Test Standar	rd: 140026 A Phase 1 Study to Evaluate the
Noncompliance with Protoco	(4/13/2015) - DRAFT
Submission ID:	
Main Noncompliance	Document Uploads Submit Reviews
De	scription of the Noncompliance with the Protocol
Da	te of event/problem 2015 • Apr • 13 •
	fill Delete

Once you have saved the Main tab, click the Non-Compliance tab to continue.

Vanderbilt IRB Dasht	poard Submissions - Studies -
Home » Draft Submissions	» Submission: "Test Standard: 140026 A Phase 1 Study to Evaluate the"
Test Stand IRB # 150080 PI	dard: 140026 A Phase 1 Study to Evaluate the
Noncompliance with Pro	tocol (4/13/2015) - DRAFT
Submission ID:	
Main Noncompliance	Document Uploads Submit Reviews
	Description of the Noncompliance with the Protocol
	Date of event/problem
	Save

Complete all questions on the Non-Compliance form. The Wizard will not allow you to submit if a questions is not answered. Once all questions have been answered, Click *Save* at the bottom of the page.

Did the Noncompliance with the Protocol affect the integrity of the study? * must provide value	Ves No
Please provide an explanation of the plan to prevent future Noncompliance with the Protocol events: * must provide value	
Has the PI been notified of the Noncompliance with the Protocol and received a copy of this report? * must provide value	 Yes No
Has this Noncompliance with the Protocol been reported to the sponsor?	● Yes ● No

If you have additional documents to upload, click the *Document Uploads* tab. If you have no additional documents to upload, click the *Submit* tab.

Vanderbilt IRB Dashboard	Submissions - Studies -		-
Home » Draft Submissions » Sub	bmission: "Test Standard: 140026 A Phase 1 Study to I	Evaluate the"	
Test Standard	d: 140026 A Phase 1 Study	to Evaluate the	
Noncompliance with Protocol (4/13/2015) - DRAFT		
Submission ID:	- / /		
Main Noncompliance	Document Uploads Submit Reviews		
No	ncompliance with Protocol Informa	ation	
	Is this a Cancer Center related report of Noncompliance with the Protocol that has been completed in OnCore? * must provide value	© Yes ⊙ No reset	¢
	Explain why or how the Noncompliance with the Protocol occurred: * must provide value		

On the Document Uploads tab, upload additional documents using either the Drag and Drop or Standard method.

PI			
Noncompliance with Protocol (4/13/2	2015) - DRAFT		
Submission ID:			
Main Noncompliance Docume	ent Uploads Submit Reviews		
	Attach Study Files		Drag and Drop Standard
	Protocol	IRB Application	Continuing Review Application
	Consent-Assent Document	🎓 Grant	A Investigators Brochure
	Advertisement	Recruitment	Study Measures
	Study Materials	Other	
	Submission Documents There are no documents for this study		
			© 2015 Vandarbill University Institutional Review Roard

Once all documents are uploaded, Click Continue.

PI			
Noncompliance with Protocol (4/13/20	2015) - DRAFT		
Submission ID:			
Main Noncompliance Docume	ent Uploads Submit Reviews		
	Attach Study Files		Drag and Drop Standard
	n Protocol	♠ IRB Application	Continuing Review Application
	A Consent-Assent Document	n Grant	nvestigators Brochure
	Advertisement	Recruitment	Study Measures
	Study Materials	Other	
	Submission Documents There are no documents for this study		

On the Submit tab, if the required information is not complete, you will get an error message. Click on the *Non-Compliance tab* to answer incomplete questions.

Vanderbilt IRB Dashboard Submiss	sions • Studies •	linitar -
Home » Draft Submissions » Submission: "	'Test Standard: 140026 A Phase 1 Study to Evaluate the"	
🖆 Test Standard: 14	0026 A Phase 1 Study to Evaluate the	
IRB # 150080		
Noncompliance with Protocol (4/1 2015	5) - DRAFT	
Submission ID:		
Main Noncompliance Document U	Uploads Submit Reviews	
	Your submission is not yet complete	
	Noncompliance: o Noncompliance form is incomplete	
	Debug info	
	© 2015 Vanderhalt Driversity	Institutional Review Board 1313 2 Har Ave S, Blaue 804 Neshvile, TH 37232-4316 151 2322 3211 (baseptione) (151 242-2314 (base) (151 242-23444 (bas)

Once the form is complete, click the Submit button.



After a signature from the PI, the submission is automatically routed through the Wizard and ultimately ends its journey at the IRB where it will be sent to a team to start the review process.

Submitting a Response to a Committee Action Letter (CAL)

On the dashboard, the Submissions Requiring a CAL Response button will display a number indicating the number of outstanding items awaiting a response from you.

Draft submissions	Submissions requiring my signature	Submissions not yet approved	Pr	1 e-review requests	4 Submissions requiring a CAL response	Site visit requests
studies Studies listing me	as KSP					-
Approved Studies	9					٩
udy Title			IRB #	PI	Study Contact	Study Expiration
	High-Field Magnetic Resonance					11/30/2019
ICC NEU1424: Quantitative I						12/12/2019
ICC NEU1424: Quantitative I iara - Analyst - New Study, V	UMC, IRB of Record, Standard,					

Clicking this button will show you a list of these outstanding items.

Vanderbilt IRB	Dashboard	Submis	sions - Studies -					14-14-	•
			Home >> CAL Response Submissions						
			My submissions Submissions for studies listing me as KSP						
			CAL Response Submissions				Q		
			Study Title	IRB#↓₹	Туре	PI	Status		
			Test Repository: 140841 Idiopathic Pulmonary Fibrosis	150061	NEW STUDY	1000	DRAFT		
			• · · ·						

If the title is too long, the title will be truncated. Hover the cursor over the truncated title to display the entire title.

Vanderbilt IRB Dashboard S	ubmissions - Studies -					lighter -
	Home # CAL Response Submissions					
	My submissions Submissions for studies listing me as KSP					
	CAL Response Submissions				٩	
	Study Title	IRB # 17	Туре	PI	Status	
	Test Repository: 140841 Idiopathic Pulmonary Fibrosis	150061	NEW STUDY		DRAFT	
	Text Repository: 140841 Idiopath	Contractive Contractiv	utcomes (IPF-PRO) Regin	5 Vanderbilt University in 13 6	stitutional Review Board 113 21st Ave 5, Soige 504 faethile, TN 37232-4115 (322-2416 desphone) (315) 343-2040 (lax)	

Select the appropriate response item.

Vanderbilt IRB	Dashboard	Submissions - Studies -					
		Home » CAL Response Submissions					
		My submissions Submissions for studies listing me as KSP					
		CAL Response Submissions				٩	
		Study Title	IRB#1	Туре	PI	Status	
		Test Repository: 140841 Idiopathic Pulmonary Fibrosis	150061	NEW STUDY		DRAFT	

From the Review Screen there are three options to download the CAL: 1. Click the *Download CAL* button above the letter

Committee concern this page to begin a	s regarding this submission can be found in the Committee Action Letter below. Please review the letter and select the corresponding tabs at the top of ddressing these concerns.
	Z Download (
download	1/1 Č: 👱 👼
	Human Research Protections Program – HRPP Supporting the work of the IRB and Providing HRPP Oversight VANDERBILT
	Dear Ciara Cyr, MD: At the meeting on 3/17/2017, the Institutional Review Board reviewed the research application identified above. While approval to do the research was recommended, the research may not be started until the following comments and issues are addressed, and a final approval has been extended. In a cover letter, please itemize all responses to the Committee's concerns and include the letter with your response. Please provide a rationale for each change suggested but not implemented. Please track changes to all affected documents and submit them in their entirety. In addition, submit a clean copy of the consent form(s) for date stamping.

2. Click the *download* button in the PDF viewer



3. Click the *Download CAL* link at the bottom of the page under Submission Reviews

Submission Pa	Dear Ciara Cyr, M At the meeting on approval to do the issues are address Committee's conce but not implement submit a clean cop	Huma Supporting D: 3/17/2017, the Institution research was recommen- sed, and a final approval erns and include the lette ad. Please track changes by of the consent form(s)	an Research Protections Program the work of the IRB and Providing I WANDERBII hal Review Board reviewed the inded, the research may not be- has been extended. In a cover ar with your response. Please pi is to all affected documents and for date stamping.	n – HRPP HRPP Oversight LT research application identified above. While started until the following comments and letter, please itemize all responses to the rovide a rationale for each change suggeste submit them in their entirety. In addition,	
		0 ki		D 1 0 1	
Updated On	Meeting Date	Committee	Review Type	Review Outcome	tter
3/1//2017	3/1//201/	HS1	Full Committee	Approve Pending Changes	Download CAL

Once the CAL is downloaded, review the changes and concerns expressed by the Committee in the letter. Changes requested within the Wizard can be addressed by clicking the tab corresponding with the concern.



Please limit your changes to only those requested by the Committee. If the IRB has not requested any specific changes within the Wizard, simply click the Document Uploads tab, which is the tab where you can upload your cover letter to address each change requested by the Committee and any revised documents.

Test Study	U.T.S.HD-Phrase, Open-fashet
Reviews Main KSP Funding Pe	nformance Sites Application Document Uploads Submit
	Test Study for 3/17 VICCNEU 40- Pivotal, Open-label, Randomized Study of Radiosurgery with or without Tumor Treating Fields (TTFields) (150kHz) for 1-10 Brain Metastases From Nonsman end Lung Cancer (NSCLC) (EF-25)
+ Add Help	Latest INB Review Info
	Committee concerns regarding this submission can be found in the Committee Action Letter below. Please review the letter and select the corresponding tabs at the top of this page to begin addressing these concerns.
	Z Download CAL
	Human Research Protections Program – HRPP Supporting the work of the IFB and Providing HRPP Oversight
	Dear MD: At the meeting on 3/17/2017, the Institutional Review Board reviewed the research application identified above. While approval to do the research was recommended, the research may not be started until the following comments and issues are addressed, and a final approval has been extended. In a cover letter, please timeze all responses to the Committee's concerns and include the letter with your response. Please provide a rationale for each change suggested but not implemented. Please track changes to all affected documents and submit them in their entirety. In addition, submit a clean copy of the consent form(s) for date stamping.

Please be sure to update the revision date and track all changes on any revised documents. Documents can be submitted with either the Drag and Drop option, or the Standard option.

Test Study for 3/17 VICCN	EU16140- Pivotal, Ope	en-label,		
Reviews Main KSP Funding Pe	erformance Sites Application Docum	ent Uploads Submit		
1	Attach Documents			Drag and Drop Standard
J	Drag file into the region for the appropriate of	locument type. Maximum accepted file size is 6	512MB.	
	Protocol	IRB Application	Continuing Review Application	Consent-Assent Document
	🏘 Grant	Investigator's Brochure	Advertisement	Recruitment
	Study Measures	Study Materials	♦ Other	
	Global Documents			
	File Name	Created On	Created By	File Size
	Previously Submitted Document	S		
	Delete selected files Pownload all	•		
	← Back → Continue			

When all documents have been uploaded, click the *Continue* button at the bottom of the page. This button will bring you to the Submit tab.

1	Attach Documents			Drag and Drop Standard
J	Drag file into the region for the appropriate do	ocument type. Maximum accepted file size is 5	12MB.	
	Protocol	IRB Application	Continuing Review Application	Consent-Assent Document
	🏞 Grant	Investigator's Brochure	Advertisement	Recruitment
	Study Measures	Study Materials	Other	
	Global Documents			
	File Name	Created On	Created By	File Size
	Previously Submitted Documents	3		
	Delete selected files Powpharal -			
	← Back → Continue			

When you are sure every concern listed in the CAL has been addressed, click the Submit button.



Once the CAL has been submitted, it will be routed for signature by the PI. If you need assistance, please do not hesitate to contact the IRB. Each team has a Regulatory Compliance Analyst that has been involved with the development of the Wizard system. These analysts are there to help you with any issues or difficulties you may encounter.

Assign an NCT number and/or Business Officer

The *Info* tab within your approved study has been designed to allow you to enter information regarding your National Clinical Trial registry number (if you are required to register your study) or assign a Business Officer for your study. The Business Officer is responsible for the financial aspects of the study with regard to payment of IRB invoices. If you need to add/update either item, just follow the step-by-step instructions below:

On the Dashboard, Approved Studies can be found in the list at the bottom of the screen. Approved studies for which you are the PI, Study Coordinator, or Faculty Advisor are found on the My Studies tab. This is the default view for the dashboard. Studies for which you are listed as KSP are found on the Studies listing me as KSP tab.

1 Deeff automissione	Submissions requiring		Pre-review requests	Submissions requiring a	Site visit requests
	my signature	approved		CAL response	
My studies Studies listing me a	s KSP				
Approved Studies					
		 		Study Expiration 13	

Draft submissions	Submissions requiring my signature	Submissions not yet approved	Pi	e-review requests	Submissions requiring a CAL response	Site visit requests
My studies Studies listing me as i	KSP					
Approved Studies						
Study Title			IRB #	PI	Study Contact	Study Expiration
VICC NEU1424: Quantitative High	-Field Magnetic Resonance					11/30/2019
- New Study, VUM	C, IRB of Record, Standard,					12/12/2019
sIRB Test: TIN CIRB (VUMC): Cor	nparison 🔆 rai anticoagulants					
Highlighted studies are expiring	g in the next 8 weeks					

From the study page, be sure you are on the Main tab.

Home » Approved Studies » Study:	Home » Approved Studies » Study:						
IRB # Pl Info KSP Approved Documents Submissio	ns Performance Sites Create Submission						
	Prinicipal Investigator Status Approved Committee HS3 Study Coordinator None Listed IRB Number Study Expiration Date 12/12/2019 Billable No	295 days until study expires (12/12/2019)					
N	CT ID (ClinicalTrials.gov registry number) Billing Contact/Grants Manager Save						

To include a ClinicalTrials.gov registry number, enter the *NCT ID* in the box provided and click save. When the page has saved, a message will appear on the top of the page indicating the save was successful.

IRB # PI /	nd Desumantic Submissions Euclina Dedomance Silas 🗖 Gooda Submissio	
IND KSP Applov	Clara - Analyst - New Study, VUMC, IRB of Record, Standard, Approved	
	Prinicipal Investigator Status Status Approved HS3 None Listed IRB Number 12/12/2019 Billable No Accrual Goal 100	0 days until study expires (12/12/2019)
	NCT ID (Clinical Trials.gov registry number)	
	Save	

To add a *Billing Contracts/Grants Manager*, go to the KSP tab and enter the name in the box provided and click save. When the page has saved, a message will appear on the top of the page indicating the save was successful.

IRB #	- New S	Study, VUMC,	IRB of Reco	rd, Standard,					1
Info KSP	Approved Documents Subn	nissions Funding	Performance Sites	Create Submission					1
Billing Contact/G	Grants Manager - VUMC								
L Current KSP	History								🖨 Print
VUNetID	First Name	Last Name	Department	Credentials 9	Phone	Role in Project	Accessing PHI (HIPAA)?	Human Subjects Training Expire	GCP Training Expire
VUMC ,			Human Resea	ch Protection MPH	615-875-9905	Principal Investigator	YES 🗸	13-Aug- 2021	[N/A]
+ Add another: Start typing to searc Save	th by name, email, or VUnetID				a general for the set	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~			

IBC Subcommittee Submission

Subcommittee submissons are ancillary reviews on studies that require both an IRB review and a review by another committee. Some possible subcommittee reviews may include Institutional Biosafety Committee (IBC) reviews.

If the study requires Institutional Biosafety Committee (IBC) review, it will need to be indicated on the Drugs, Devices and Biologics section of the IRB Application.

DISCOVR	R-e Manual Test Study - Standard	
Main KSP	Funding Performance Sites Application Document Uploads Submit Reviews	ł
A Summary	Drugs, Devices, Biologics	Ś
Study Type and Performance Site Information Complete Study Purpose	Please check all that apply: NA *must povide value Drug(s)(Biologic(s) or Placebo (inactive substance) Used for Research that HAVE an IND *must povide value Drug(s)(Biologic(s) or Placebo (inactive substance) used for Research that DO NOT have an IND [only include drugs that are being used outside of package insert labeling for indication, rule of administration, dose, dosing frequency, dosage form, and/or population in which the drug is being used (i.e., children i) If BC Review for Live, Recombinant, and/or Atenuated Microorganisms for Vaccination. Gene Transfer or Botox Device(s) Used for Research (devices may also include computer software, in vitro diagnostics, etc.)	
and Description complete Research, Activities.	Save	
Procedures, and Schedule of Events for Study Participants	Powered by REDCap	

Once the selection is made, saved, and the rest of the application completed and submitted, a draft Subcommittee form will appear in the Investigator and KSP's Draft section of the DISCOVR- e dashboard.

					dv subm
✓ Draft Submissions					iy subii
Study Title	IRB #	Last Updated 1	Туре	PI	Sta
w study, VUMC-IRB of Record, Expedited,	180049	12/29/2020	AMENDMENT		DF
BC		12/19/2019	SUBCOMMITTEE	<u> </u>	DF
/ICCGI15126: A Phase 1b/2 Study of Ibrutinib Combination	151001	12/3/2019	ADVERSE EVENT		DF

Click on the study to begin filling out the subcommittee form for IBC.

				L.	udv submission
Draft Submissions					Q
tudy Title	IRB #	Last Updated 17	Туре	PI	Status
w study, VUMC-IRB of Record, Expedited,	180049	12/29/2020	AMENDMENT		DRAFT
B		12/19/2019	SUBCOMMITTEE		DRAFT
/ICCGI15126: A Phase 1b/2 Study of Ibrutinib Combination		12/3/2019	ADVERSE EVENT		DRAFT

Fill out each text box in order to provide pertinent information to the IBC for review under the NIH Guidelines.

IBC SUBCOMMITTEE IRB #		
PI Subcommittee (12/19/201 Submission ID:	19) - DRAFT	Fill out each text box to provide information for IBC review.
Subcommittee Docum	Subcommittee Information Please describe the nature of the product Including lish bistory: "mult provide value	
	Please describe the agent, its function, expected shedding, and biodistribution: * must provide value	

Once all information has been provided in the Subcommittee form, move to the Document Uploads tab to include copies of the Protocol, the Product or Pharmacy Manual, Investigator's Brochure and Informed Consent Document for review by the IBC. Once documents have been uploaded, move to the submit tab and select, *Submit* to be routed for review.



Glossary of Terms

Committee Action Letter (CAL) – A letter from the IRB that needs a response from the Principal Investigator

DISCOVR-e - Data Integrated Study Console of Vanderbilt's Research Enterprise

E-Submission – An electronic study submission sent to the IRB for review

Final Approval Letter (FAL) – A letter from the IRB stating that a submission has received approval.

KSP (Key Study Personnel) – People responsible for helping with the conduct of a study

Log-In – Use your VUNetID and password to enter the DISCOVR-e system

My Studies– The view within DISCOVR-e where you can view studies where you are listed as the PI, Faculty Advisor or Study Contact

Principal Investigator - The individual responsible for the conduct of a study

Portal – Another name for the DISCOVR-e system

Studies listing me as KSP – The tab within DISCOVR-e where you can view studies in which you are listed as KSP

View IRB Training– The dashboard in DISCOVR-e where you can view your current IRB Training Status and when that training will expire

Wizard – The computer programming that takes you step by step through DISCOVR-e